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I/We have fully understood the distinction and details regarding the Mandatory/Non-Mandatory documents and do hereby enter and sign the same and agree not to call into question the validity, enforce ability and applicability of any voluntary agreement(s)/ document(s) or clauses within any voluntary/optional agreement(s)/document(s) under any circumstances what so ever.



02 | Signature of the Client



EUREKA STOCK & SHARE BROKING SERVICES LTD.

Registered Office: 7, Lyons Range, 2nd Floor, Room No. 1, Kolkata - 700001

Corporate Office: B3/4, Gillander House, 8 N S Road, Kolkata - 700001

Ph. : 3918 0300 / 01 / 02 Fax: 2210 5184 e: helpdesk@eurekasecurities.com

www.eurekasecurities.com

Disclosure pursuant to NSE Circular No. NSE/INSP/2006/52 dated 05-07-06 & BSE Circular No. 20060704-6 dated 04-07-06.

Documents required as per SEBI Circular No. SEBI/MIRSD/DPS-1/CIR-31/2004 dated 26-08-2004.

Read with SEBI Circular bearing Reference No. MIRSD/SE/CIR-19/2009 dated 03-12-2009

DOCKET - A

INSTRUCTIONS

DOCUMENTS REQUIRED (MANDATORY)

FOR INDIVIDUALS (RESIDENT INDIAN OR NRI) / SOLE PROPRIETORSHIP FIRM


1. Proof of Identity: Copy of PAN (*Name in PAN Card should tally with the name mentioned in KYC*)
2. Copy of Address Proof : Passport / Voter ID / Driving License / Bank (*Passbook / Statement*) / Rent Agreement / Ration Card / Telephone Bill / Electricity Bill / Insurance Policy
(*Address should tally with the address mentioned in KYC*)
3. One Passport size photograph
4. Cancelled cheque leaf & Copy of Bank Statement
5. Copy of Demat Account Proof : Client Master / Holding Statement
6. Copy of complete passport (*in case of NRI Clients*)
7. Copy of proof of NRE / NRO Account (*in case of NRI Clients*)
8. **Financial Details - Copy of ITR Acknowledgement / Copy of Annual Accounts / Copy of Form 16 in case of Salary Income / Net worth certificate / Salary Slip / Bank Account Statement for last 6 months / Copy of Latest Demat Account Holding Statement. (Compulsory for Derivative Trading)**

FOR NON-INDIVIDUALS (LIMITED COMPANY, PARTNERSHIP FIRM, HUF, TRUST, SOCIETY OR ANY OTHER ENTITY)

1. Copy of PAN (*Name in PAN Card should tally with the name mentioned in KYC*)
2. Copy of Audited Annual Report for last 2 years
(*Copy of Annual Report has to be given every year*)
3. Copy of latest shareholding pattern including list of all those holding more than 5% in the Share Capital of the Company / Profit Sharing Ratio (*with percentage*) duly Certified by Company Secretary / Whole Time Director / Managing Director / Partner
(*Copy of Shareholding Pattern has to be provided every year*)
4. Copy of Memorandum & Articles of Association / Partnership Deed / Trust Deed / Byelaws / HUF Deed / List of Co-Parceners
5. Copy of Bank Statement (*for last 6 months*) and Cancelled cheque leaf
6. Copy of Demat Account Proof - Client Master / Holding Statement

7. Copy of Resolution passed by Board of Directors / Board of Trustees, to be certified by Chairman / Managing Directors / Directors other than the Designated Signatory
[Separately given in the voluntary section]
8. Photograph of Whole Time Directors / Promoters Holding 5 % Shares / Authorised Signatories / Partners
9. PAN Card of Directors / Partners (*at least two*)
10. Address Proof of Directors / Partners (*at least two*)
11. Form-32 indicating details of present Directors.

PLEASE READ THE FOLLOWING INSTRUCTIONS CAREFULLY BEFORE FILLING UP THE FORM

1. Form should be completed in all respects in OWN HANDWRITING by client only.
2. Please fill up the form in CAPITAL LETTERS.
3. For Proof & Verification - Electricity Bill / Telephone Bill / Bank Statement copy should not be more than 3 months old from the date of registration.
4. All the documents submitted need to be -
 - Self Certified (*For Individuals Clients*)
 - Stamped as "CERTIFIED TO BE TRUE COPY" and signed by Authorised Signatory (*For Non-Individual Clients*)
5. If any of above proofs is in a language other than Hindi or English, Notarized copy for the same will only be accepted.
6. Please note that original documents may be required to be produced for verification.
7. Major mismatch in name of the client with the supporting documents shall not be entertained. In case of minor mismatch, an affidavit has to be produced by the client.
8. Please note that Trading Account cannot be opened in joint name, separate form is required in respect of all clients.
9. Please initial wherever overwriting is done.
10. Please put full Signature at places marked as , wherever applicable.
11. All the fields in the form must be filled up (wherever applicable), otherwise the form may be rejected.
12. We also provide some special facilities like Internet Trading, Electronic Contract Note, Electronic Payout etc. to make your trading activities more effective and advanced. In this connection, we seek your consent and signature in some additional documents, which is absolutely at your discretion. (Documents enclosed in the **Voluntary Section** of the Kit)
13. Please note that if you wish to avail the Internet Trading Facility, you need to obtain and execute the related documents / agreements separately. (not included in this Kit)
14. Also note that if you wish to trade on MCX-SX, you need to obtain and execute the related documents / agreements separately. (not included in this Kit)
15. For any correspondence with Eureka Stock & Share Broking Services Ltd., please contact our Corporate Office:
B-3/4 & C-3/5, Gillander House, 8 NS Road, 3rd Floor, Kolkata - 700001 or e-mail us at : helpdesk@eurekasecurities.com

KNOW YOUR CLIENT (KYC)

(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients)

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
Registered Office: 7, Lyons Range, 2nd Floor, Room No. 1, Kolkata - 700001
 Ph. : 3918 0300 / 01 / 02 Fax: 2210 5184 e: helpdesk@eurekasecurities.com



Affix
 recent
 photograph
 of client
 (if client is
 an individual)

Please sign across
 on the photograph

Dear Sir,

I / We request you to register me / us as your client. In this regard the following is furnished :

ACCOUNT TYPE	<input type="checkbox"/> INDIVIDUAL / SOLE PROP.	<input type="checkbox"/> HUF	<input type="checkbox"/> PARTNERSHIP
	<input type="checkbox"/> TRUST / SOCIETY	<input type="checkbox"/> NRI	<input type="checkbox"/> CORPORATE

IN CASE OF INDIVIDUAL / SOLE PROPRIETORSHIP FIRM

Name of Client :				
Father's / Husband's / Guardian's Name :				
Sex :	<input type="checkbox"/> Male	<input type="checkbox"/> Female	Date of Birth :	<input type="text"/>
Martial Status :	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	Nationality :	<input type="text"/>
Residential Status :	<input type="checkbox"/> Indian	<input type="checkbox"/> NRI	<input type="checkbox"/> Others (please specify)	
Address (Residence) _____				
City	State	Country	PIN	
E-mail : [For Electronic Contract Note]				
Telephone (with STD Code) :	()	Mobile	Fax	
Occupation :	<input type="checkbox"/> Employed	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Business	
	<input type="checkbox"/> Professional	<input type="checkbox"/> House Wife	<input type="checkbox"/> Others (please specify)	
Name of Employer (if Employed)				
Establishment (if Self Employed / Business / Professional / Others)				
Office Address _____				
City	State	Country	PIN	
Telephone (with STD Code) :	()	Fax		
Income Details :	<input type="checkbox"/> 0-1 Lacs	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs
PAN No. :				

IN CASE OF NON-INDIVIDUAL

Name of Client :				
Constitution (eg. Company, Partnership, etc.) :				
Date of Incorporation / Formation :			Date of Commencement of Business :	
Residential Status :	<input type="checkbox"/> Indian	<input type="checkbox"/> NRI	<input type="checkbox"/> Others (please specify)	
Address (Regd. Office) _____				
City	State	Country	PIN	
E-mail :				
Telephone (with STD Code) :	()	Fax		
Correspondence Address _____				
City	State	Country	PIN	
Telephone (with STD Code) :	()	Fax		
Nature of Business :				
SEBI / ROC Registration No. :			PAN No. :	

IN CASE OF INDIVIDUAL & NON-INDIVIDUAL BOTH

Particulars of Bank Account and Demat Account from which the Client will carry out the Transaction

	First Account (Default)	Second Account	Third Account
Bank Name			
Branch Name			
Bank Address			
9-Digital Code No.			
IFSC CODE (RTGS / NEFT)			
A/c. Type (Savings/ Current/ NRI/ Others)			
Bank A/c. No.			
DP Name			
DP Address			
DP ID			
TYPE	[] NSDL [] CDSL	[] NSDL [] CDSL	[] NSDL [] CDSL
Client ID			

Primary Purpose of Trading : Speculative Hedging Investment

Investment Experience : _____ years in Stock, _____ years in Derivatives, _____ years in any other investment related field, _____ no prior experience.

Brokers Details

Whether registered with any other Broker-Member (s) Yes No (if yes, than please furnish the following)

Name of Member :

Name of Exchange :

Client Code No. :

(If registered with multiple members, provide details of all in a separate sheet)

Stock Exchanges and Segments on which you wish to trade
Please tick the appropriate box/s and cross the other ones.

NSE-CM  _____
04 | Signature of the Client

BSE-CM  _____
07 | Signature of the Client

NSE-F&O  _____
05 | Signature of the Client

BSE-F&O  _____
08 | Signature of the Client

NSE-CDX  _____
06 | Signature of the Client

BSE-CDX  _____
09 | Signature of the Client

NOTE : FOR TRADING ON MCX-SX SEPARATE AGREEMENT NEED TO BE SIGNED*

Introduction :	<input type="checkbox"/> Existing Client Client Code	<input type="checkbox"/> Employee / Director Designation	<input type="checkbox"/> Others (Please Specify) *
Name of the Introducer :		PAN No. :	
Relation of the Introducer with Client :			
Address of the Introducer :		Signature :	

* If the Introducer is not affiliated with **Eureka Stock & Share Broking Services Ltd.**, he / she is required to provide a copy of PAN Card and Address Proof.

Name of Employee :	Designation :
Date :	Signature :

Details of any action taken by SEBI / Stock Exchange / any other authority against the Individual; In case of Non-Individual against the constituent or its Partners / Promoters / Whole Time Directors / Authorised Persons in charge of dealing in Equities/F&O/Currency Derivatives for violation of laws/other economic offences (during last three years, pl. attach proof)

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.....

Declaration for Clients for Special Category (Please tick whichever is applicable) :

I/We hereby declare that I/We do not fall under Clients of Special Category as defined in Prevention of Money Laundering Act 2002. **OR**

I/We hereby declare that I/We fall under Clients of Special Category as defined in Prevention of Money Laundering Act 2002 (choose the relevant category as under)

[] Non Resident Client [] High Net-worth Clients [] Trust, Charities, Non- Governmental Organisations (NGOs) and organizations receiving donations [] Companies having close family shareholdings or beneficial ownership

[] Politically Exposed Persons [] Companies Offering foreign exchange offerings [] Clients in high risk countries where existence/ effectiveness of money laundering controls is suspect [] Non face to face clients [] Clients with dubious reputation as per public information available etc.

Declaration : I / We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief and I / We undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am / We are aware that I / We may be held liable for it.

Name : _____		
Place : _____, Date : _____	10	Signature of the Client

Collateral Deposit :

Collateral	Declared Value	% Haircut	Assigned Value
Cash			
Marketable Securities			
Bank Guarantee			

Brokerage Slab : (Service Tax, Stamp Charges, Transaction Charges and other charges, if any shall be levied as per the rate stipulated by the concerned Authority)

Segment	Square off	Delivery	Minimum (paisa)	Risk Category
NSE Cash				
NSE Futures				
NSE Options				
BSE Cash				
BSE Futures				
BSE Options				
Currency Derivatives				

FOR OFFICE USE ONLY :

UNIQUE CONSTITUENT CODE :

Checked by

Approved by

Account Code Updated by

(Name and Signature)

(Name and Signature)

(Name and Signature)

Remarks / Comments (if any) :

.....

.....

.....

.....

DISCLOSURE INFORMATION (FOR EUREKA STOCK & SHARE BROKING SERVICES LTD.)

To,

All Clients

Sub : Disclosure of Proprietary Trading

Dear Sir/Madam,

We do hereby disclose that apart from client based trading, we also do proprietary based trading (self account) in ALL SEGMENTS of ALL EXCHANGES in which we are members.

Thanks and best regards,

For **EUREKA STOCK & SHARE BROKING SERVICES LTD.**

Sd/- Rakesh Somani - Director

I acknowledge the receipt of information given above by EUREKA STOCK & SHARE BROKING SERVICES LTD. that they do Client based trading and Pro-Account trading.



12 | Signature of the Client

Client Name :

Trading Client Code :

Date :

DETAILS OF PARTNERS / KARTA / WHOLETIME DIRECTORS / INDIVIDUAL PROMOTERS / AUTHORISED PERSON

DETAILS	FIRST	SECOND	THIRD	FOURTH	FIFTH	SIXTH
First Name						
Middle Name						
Last Name						
Name of Father / Husband						
Sex						
Date of Birth						
Educational Qualification						
Designation						
Experience						
PAN No. <i>(Provide Copy)</i>						
DIN NO. <i>(In case of Directors)</i>						
Equity Stake						
Residence Address <i>(Provide Copy)</i>						
Document for Residential Proof						
Contact Phone No.						
Photograph	Please affix and sign on the Photograph	Please affix and sign on the Photograph	Please affix and sign on the Photograph	Please affix and sign on the Photograph	Please affix and sign on the Photograph	Please affix and sign on the Photograph



a) Refusal of Orders for Penny Stocks:

ESSBSL shall have absolute discretion to term a stock as "PENNY". As per our policy, "PENNY STOCK" can be referred to as the stocks which are appearing in the list of illiquid securities issued by the Exchanges every month and any other stocks which ESSBSL might consider to be illiquid. The details of such stocks will be posted on the website of ESSBSL.

ESSBSL at its discretion can accept, refuse or partially accept any buy or sell order for execution from the client in respect of such scrips. ESSBSL may allow restrictive acceptance of orders in such scrips and may demand appropriate declarations and additional margin from the client before accepting order of such stocks. ESSBSL may cancel orders in such scrips received by the clients before execution or partial execution or place any other kind of restrictions on the trade on such scrips without assigning any reasons thereof to the client.

ESSBSL shall not be responsible for any consequential opportunity loss or financial loss that a client may incur from delay or non execution of orders in penny stock.

b) Setting up Client's Exposure Limits:

ESSBSL may give an exposure limit which would be a multiple (ranging between one to four times) of the clear ledger balance in the account plus value of the shares given as collaterals computed after applying appropriate haircut. In F & O segment exposure is given on the value of initial margin, after applying appropriate hair cut on the securities given as collateral. The exposure limit may be changed based on the volatility in the market and quality of collaterals.

ESSBSL may set different exposure limits varying for different clients depending on the credit worthiness, integrity and past conduct of the client. The client agrees that ESSBSL shall not be responsible for such variation, reduction or imposition or the clients inability to route any order through ESSBSL's trading system on account of any such variation, reduction or imposition of limits.

c) Applicable Brokerage Rate:

ESSBSL follows the policy of charging brokerage not more than the maximum permissible brokerage as per the rules and regulation of the exchange/ SEBI. Brokerage shall be applied as per the rates agreed upon with the client in the KYC at the time of registration. The brokerage slab of a client shall be reviewed at intervals after assessment of the amount and quality of volume generated by the client as per his commitment. The rates may be increased with prospective effect at 15 days notice sent to the E-mail address or postal address of the client registered with ESSBSL. The brokerage amount debited to the client does not include any exchange related charges or statutory levies as applicable. Any other applicable taxes imposed by statutory authority including securities transaction taxes, duties, service tax, etc will be paid by the client separately as may be levied on the transactions from time to time.

d) Imposition of Penalty/Delayed Payment Charges by either party, specifying the rate and the period (This must not result in funding by the broker in contravention of the applicable laws)

Clients will be liable to pay late pay in/delayed payment charges not exceeding 2% per month for not making payment of their pay-in obligation on time as per the exchange requirement or net ledger debit balance as applicable.

The client agrees that ESSBSL may impose fines/penalties for any orders/trades/deals/actions of the client which are contrary to this agreement/rules/regulations/bye laws of the exchange or any other law for the time being in force, at such rates and in such form as it may deem fit. Further where ESSBSL has to pay fine or bear any punishment from any authority in connection with/as a consequence of/ in relation to any of the orders/ trades/deals/actions of the client, the same shall be borne by the client.

e) The right to sell clients' securities or close clients' positions, without giving notice to the client, on account of non-payment of client's dues (This shall be limited to the extent of settlement/margin obligation)

ESSBSL shall be entitled to liquidate client's securities, both unpaid securities as well as collaterals deposited towards margins or close out client's open position, without giving notice to the client for non-payment of margins or other amounts including the pay-in obligation, outstanding debts etc and adjust the proceeds of such liquidation/close out, if



any, against the clients liabilities/obligations. Any and all losses and financial charges on account of such liquidations/closing out shall be charges to and borne by the client.

In case the payment of the margin/security is made by the client through a bank instrument, ESSBSL shall have absolute discretion to give the benefit/credit for the same only on the realization of clear proceeds in ESSBSL bank account. Where the margin/security is made available by way of securities or any other property, ESSBSL is empowered to decline its acceptance as margin/security and/or accept it at such reduced value as ESSBSL may deem fit by applying haircuts or by valuing it by marking it to market. The stock broker has the sole discretion to decide referred stipulated margin percentage depending upon the market conditions.

In event of death or insolvency of the client, Eureka Stock may close out all outstanding positions of the client, adjusting the loss incurred on such closures with the margin deposited by the client and claim further shortfalls, if any, against the estate of the client. The successors or heirs of the client shall be entitled to any surpluses which may result there from.

The above action is at the sole discretion of ESSBSL and may vary from client to client. It shall not be under any obligations to undertake the exercise compulsorily. ESSBSL shall therefore not be under any obligation to compensate or provide reasons of any omission or delay on its part to sell client's securities or close open positions of the client.

f) Shortages in obligations arising out of internal netting of trades

Policy for settling shortage in obligation arising out of internal netting of trades is as under:

The securities delivered short are purchased from the market on T+3 day and the purchase consideration (including all statutory taxes & levies) along with a penalty is debited to the short delivering seller client. In case the shares are not available for purchase for any reason then the shortage will be closed out as per the prevailing rules of the respective exchanges. Currently, the penalty levied amounts to- NSE clients charged a flat rate of Rs 0.40 on value of purchase and BSE Clients charged @1% on value of purchase consideration.

g) Condition under which a client may not be allowed to take further position or the broker may close the existing position of a client.

- Client unable to meet his pay-in obligation as per exchange requirement irrespective of the value of collaterals available.
- Long pending debit balance in the client's account.
- Margin shortfall not compensated by the client.
- Dishonor of Cheque
- Client dealing in "illiquid" stock as declared by ESSBSL.
- Transactions which may appear to be suspicious in nature
- Where based on the happening of an event, ESSBSL has a risk perception that further trading in the contracts/securities may not be in the interest of the clients and /or the market.

h) Temporarily Suspending or Closing a Client's account at the clients request:

ESSBSL may carry out periodic review of the client accounts and may suspend the accounts from trading (i.e. prohibiting any market transactions, only allowing client shares/ledger balance settlement to take place) under any of the following circumstances:

- Where the Client is inactive for the last six months.
- Where the Client has not cleared his dues after repeated reminders
- Where Physical statements or contract notes, etc are received back undelivered and the client is not responding to update the correct address.
- Where the client is reported or known to have expired.



- Where client lodges a complaint either directly with ESSBSL or through the Exchange relating to alleged unauthorized Trades being executed in his account.
- Where the account is under investigation by any regulatory body.
- As per direction of the Exchanges, SEBI or any other regulatory body.
- On written request received from the client and the same can be activated on the written request of the client only.

The Client account can be closed on the written request of the client provided the client account is settled. If the client wants to reopen the account then the client has to again complete the KYC requirement.

i) Deregistering a client:

Notwithstanding anything to the contrary stated in the agreement, ESSBSL shall be entitled to terminate the agreement with immediate effect in any of the following circumstances:

- If the action of the client are prima facie illegal/improper or such to manipulate the price of any securities or disturb the normal/proper functioning of the market, either alone or in conjunction with others.
- On the death/lunacy or other disability of the Client.
- If the client being a partnership firm/any other organization, has any steps taken by the Client and/or its partners for dissolution or liquidation.
- If the Client suffers any adverse material change in his/her/its financial position or defaults in any other agreement with the Stock Broker.
- If the Client has made any material misrepresentation of facts, including (without limitation) in relation to the Security.
- If the Client is in breach of any term, condition or covenant of this Agreement.
- Any suspicious information found by ESSBSL in sites like CIBIL, world check, etc or if there is any commencement of a legal process against the client under any law in force.
- If the client forms a part of the list of debarred entities published by SEBI and/or any action is taken by NSE/BSE/SEBI on the client.
- ESSBSL reserves the right to deregister a client after giving 30 days notice to the client without specifying any reason whatsoever. ESSBSL may freeze the assets of the client where it deems prudent and shall have the right to close out the existing positions, sell all the collaterals to recover its dues, if any, before deregistering the client.

Inactive client account will be considered as inactive if the client does not trade for a period of six months. Calculation will be done at the beginning of every month and a written request has to be made by the client for reactivation of their account.

Client Acceptance of Policies and Procedures stated hereinabove:

I/We have fully understood the same and do hereby sign the same and agree not to call into question the validity, enforceability and applicability of any provision/clauses in this document under any circumstances whatsoever. These Policies and Procedures may be amended/changed by giving 15 days notice by the broker, provided the change is informed to me/us through any one or more means/ methods. I/we agree never to challenge the same on any grounds including delayed receipt/non- receipt or any other reason whatsoever. These Policies and Procedures shall always be read along with the agreement and shall be compulsorily referred to while deciding any dispute/difference or claim between me/us and ESSBSL before any court of law/judicial/adjudicating authority including arbitrator/mediator,etc.





AGREEMENT BETWEEN TRADING MEMBER AND CLIENT

This agreement is made and executed at this day of 20..... between: **M/s. Eureka Stock & Share Broking Services Limited**, a body corporate, registered/incorporated under the provisions of the Companies Act, 1956, being a member of National Stock Exchange of India Ltd (hereinafter called "the Exchange"), and having its registered office at **7, Lyons Range, 2nd Floor, Room No. 1, Kolkata - 700001** (hereinafter called "the Trading Member") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include himself in the capacity of a Trading Member while trading in the Capital Market / F&O / Currency Derivatives Segment, his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives / its successors, as the case may be, of the **One Part**;

And

Mr. / Ms / M/s., an individual / a sole proprietary concern / a partnership firm / a body corporate, registered / incorporated, under the provisions of the Indian Partnership Act, 1932 / the Companies Act, 1956, having his/her/its residence/registered office at

..... (hereinafter called "the client") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, of the **Other Part**;

Whereas the Trading Member is registered as the Trading Member of the Exchange with **SEBI Registration Number INB 230068430** in the Capital Market Segment ; **SEBI Registration Number INF 230068430** in the Futures and Options Segment and **SEBI Registration Number INE 230068430** in the Currency Derivatives Segment.

Whereas the client is desirous of investing / trading in those Securities / F&O Contracts / Currency Derivatives Contracts / other instruments admitted to dealings on the Exchange as defined in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under from time to time.

Whereas the client has satisfied itself of the capacity of the Trading Member to deal in Securities and/or F&O Contracts/ Currency derivatives contracts and wishes to execute its orders through the Trading Member and the client shall from time to time continue to satisfy itself of such capability of the Trading Member before executing orders through the Trading Member.

Whereas the Trading Member has satisfied and shall continuously satisfy itself about the genuineness and financial soundness of the client and investment / trading objectives relevant to the services to be provided; and

Whereas the Trading Member has taken steps and shall take steps to make the client aware of the precise nature of the Trading Member's liability for business to be conducted, including any limitations, the liability and the capacity in which the Trading Member acts.

Whereas the Trading Member and the client agree to be bound by all the Rules, Byelaws and Regulations of the Exchange and circulars issued there under and Rules and Regulations of SEBI and relevant notifications of Government authorities as may be in force from time to time.

17 | Signature of the Client

18 | Signature of the Client

19 | Signature of the Client

Now, therefore, in consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The client agrees to immediately notify the Trading Member in writing if there is any change in the information in the 'client registration form' provided by the client to the Trading Member at the time of opening of the account or at any time thereafter.
2. The Trading Member declares that it has brought the contents of the risk disclosure document to the notice of client and made him aware of the significance of the said document. The client agrees that:
 - a. He has read and understood the risks involved in trading on a stock exchange.
 - b. He shall be wholly responsible for all his decisions and trades.
 - c. The failure of the client to understand the risks involved shall not render a contract as void or voidable and the client shall be and shall continue to be responsible for all the risks and consequences for entering into trades in the segment.
 - d. He is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the Trading Member or the Exchange or as may be directed by SEBI from time to time as applicable to the segment. The Trading Member is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange, Clearing House / Clearing Corporation or SEBI) and the client shall be obliged to pay such margins within the stipulated time.
 - e. Payment of margins by the client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate / require.
3. The Client agrees to pay to the Trading Member brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Trading Member renders to the Client. The Trading Member agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and byelaws of the relevant stock exchange/SEBI.
4. The client agrees to abide by the exposure limits, if any, set by the Trading Member or by the Exchange or Clearing Corporation or SEBI from time to time.
5. Without prejudice to the Trading Member's other rights (including the right to refer a matter to arbitration), the Trading Member shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities / obligations. Any and all losses and financial charges on account of such liquidation / closing-out shall be charged to and borne by the client.
6. The Trading Member agrees that the money / securities deposited by the client shall be kept in a separate account, distinct from his / its own account or account of any other client and shall not be used by the Trading Member for himself / itself or for any other client or for any purpose other than the purposes mentioned in SEBI Rules and Regulations Circulars / Guidelines / Exchange's Rules / Regulations / Byelaws and circulars.
7. The client agrees to immediately furnish information to the Trading Member in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
8. The Trading Member agrees to inform the client and keep him apprised about trading / settlement cycles, delivery / payment schedules, any changes therein from time to time, and it shall be the responsibility in turn of the client to comply with such schedules / procedures of the stock exchange.
9. In the event of death or insolvency of the client or his/its otherwise becoming incapable of receiving and paying for any contracts which the client has ordered to be bought or sold, or of delivering or transferring securities, the Trading



Member may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his successors, heirs and assigns shall be entitled to any surplus which may result there from.

10. The Trading Member agrees that it shall co-operate in redressing grievances of the client in respect of transactions routed through it and in removing objections for bad delivery of shares, rectification of bad delivery, etc. in respect of shares and securities delivered / to be delivered or received / to be received by the client.
11. The Trading Member shall continue to be responsible for replacing bad deliveries of the client in accordance with applicable "Good & bad delivery norms" even after termination of the agreement and shall be entitled to recover any loss incurred by him in such connection from the client.
12. The Trading Member shall ensure due protection to the client regarding client's rights to dividends, rights or bonus shares, etc. in respect of transactions routed through it and it shall not do anything which is likely to harm the interest of the client with whom and for whom it may have had transactions in securities.
13. The client and the Trading Member agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange and circulars issued there under as may be in force from time to time.
14. The Trading Member hereby agrees that it shall ensure faster settlement of any arbitration proceedings arising out of the transactions entered into between him and the client and that he shall be liable to implement the arbitration awards made in such proceedings.
15. Information about default in payment / delivery and related aspects by a client shall be brought to the notice of the relevant Stock Exchange(s). In case where defaulting Client is a Corporate Entity / Partnership / Proprietary Firm or any other artificial legal entity, then the name(s) of Director(s) / Promoter(s) / Partner(s) / Proprietor as the case may be, shall also be communicated to the relevant Stock Exchange(s).
16. The Trading Member and the Client agree to reconcile their accounts at the end of each quarter with reference to all the settlements where payouts have been declared during the quarter.
17. The Trading Member and the Client agree to abide by any award passed by the Ombudsman under the SEBI (Ombudsman) Regulations, 2003.
18. The Trading Member and the Client declare and agree that the transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars issued there under of the Exchange and all parties to such trade shall have submitted to the jurisdiction of such court as may be specified by the Byelaws and Regulations of the Exchange for the purpose of giving effect to the provisions of the Rules, Byelaws and Regulations of the Exchange and the circulars issued there under.
19. The instructions issued by an authorised representative, if any, of the client shall be binding on the client in accordance with the letter authorising the said representative to deal on behalf of the said client.
20. Where the Exchange cancels trade(s) suo-moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled.
21. This agreement shall forthwith terminate; if the Trading Member for any reason ceases to be a member of the stock exchange including cessation of membership by reason of the Trading Member's default, death, resignation or expulsion or if the certificate issued by the Board is cancelled.
22. The Trading Member and the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties or his / its respective heirs, executors, administrators, legal representatives or successors, as the case may be.
23. In addition to the specific rights set out in this Agreement, the Trading Member and the client shall be entitled to exercise any other rights which the Trading Member or the client may have under the Rules, Byelaws and Regulations of the



Exchange and circulars issued there under or Rules and Regulations of SEBI.

- 24. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under.
- 25. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations, guidelines and circulars issued by SEBI and Rules, Regulations and Byelaws of the relevant stock exchange that may be in force from time to time.
- 26. The Trading Member hereby undertakes to maintain the details of the client as mentioned in the client registration form or any other information pertaining to the client in confidence and that it shall not disclose the same to any person/authority except as required under any law/regulatory requirements; Provided however that the Trading Member may so disclose information about its his client to any person or authority with the express permission of the client.

This agreement can be altered, amended and /or modified by the parties mutually in writing without derogating from the contents of this Agreement.

Provided however, if the rights and obligations of the parties hereto are altered by virtue of change in Rules and Regulations of SEBI or Byelaws, Rules and Regulations of the relevant Stock Exchange, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this agreement.

IN WITNESS THEREOF the parties to the Agreement have caused these presents to be executed as of the day and year first above written.

The Client's Signature / Authorised Signatory :

For **EUREKA STOCK & SHARE BROKING SERVICES LIMITED:**

 _____

Authorised Signatory / Director

22 |
Signed by :

Signed by :

Title :

Title :

Name of the Client :

Witness:

Witness:

1. Name

1. Name

Address

Address

Signature :

Signature :

2. Name

2. Name

Address

Address

Signature :

Signature :

Note : All references to the specific quantity / rate / fee mentioned in this agreement are subject to change from time to time, as so agreed to in writing between the parties.



AGREEMENT BETWEEN TRADING MEMBER AND CLIENT

This agreement is made and executed at this day of 20..... between: **M/s. Eureka Stock & Share Broking Services Limited**, a body corporate, registered/incorporated under the provisions of the Companies Act, 1956, being a member of Bombay Stock Exchange Ltd (hereinafter called "the Exchange"), and having its registered office at **7, Lyons Range, 2nd Floor, Room No. 1, Kolkata - 700001** (hereinafter called "the Trading Member") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include himself in the capacity of a Trading Member while trading in the Capital Market / F&O / Currency Derivatives Segment, his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives / its successors, as the case may be, of the **One Part**;

And

Mr. / Ms / M/s., an individual / a sole proprietary concern / a partnership firm / a body corporate, registered / incorporated, under the provisions of the Indian Partnership Act, 1932 / the Companies Act, 1956, having his/her/its residence/registered office at

..... (hereinafter called "the client") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, of the **Other Part**;

Whereas the Trading Member is registered as the Trading Member of the Exchange with **SEBI Registration Number INB 010068436** in the Capital Market Segment ; **SEBI Registration Number INF 010068436** in the Futures and Options Segment and **SEBI Registration Number INE 010068436** in the Currency Derivatives Segment.

Whereas the client is desirous of investing / trading in those Securities / F&O Contracts / Currency Derivatives Contracts / other instruments admitted to dealings on the Exchange as defined in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under from time to time.

Whereas the client has satisfied itself of the capacity of the Trading Member to deal in Securities and/or F&O Contracts/ Currency derivatives contracts and wishes to execute its orders through the Trading Member and the client shall from time to time continue to satisfy itself of such capability of the Trading Member before executing orders through the Trading Member.


Whereas the Trading Member has satisfied and shall continuously satisfy itself about the genuineness and financial soundness of the client and investment / trading objectives relevant to the services to be provided; and

Whereas the Trading Member has taken steps and shall take steps to make the client aware of the precise nature of the Trading Member's liability for business to be conducted, including any limitations, the liability and the capacity in which the Trading Member acts.

Whereas the Trading Member and the client agree to be bound by all the Rules, Byelaws and Regulations of the Exchange and circulars issued there under and Rules and Regulations of SEBI and relevant notifications of Government authorities as may be in force from time to time.

 23 | Signature of the Client

 24 | Signature of the Client

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25 | Signature of the Client

Now, therefore, in consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The client agrees to immediately notify the Trading Member in writing if there is any change in the information in the 'client registration form' provided by the client to the Trading Member at the time of opening of the account or at any time thereafter.
2. The Trading Member declares that it has brought the contents of the risk disclosure document to the notice of client and made him aware of the significance of the said document. The client agrees that:
 - a. He has read and understood the risks involved in trading on a stock exchange.
 - b. He shall be wholly responsible for all his decisions and trades.
 - c. The failure of the client to understand the risks involved shall not render a contract as void or voidable and the client shall be and shall continue to be responsible for all the risks and consequences for entering into trades in the segment.
 - d. He is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the Trading Member or the Exchange or as may be directed by SEBI from time to time as applicable to the segment. The Trading Member is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange, Clearing House / Clearing Corporation or SEBI) and the client shall be obliged to pay such margins within the stipulated time.
 - e. Payment of margins by the client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate / require.
3. The Client agrees to pay to the Trading Member brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Trading Member renders to the Client. The Trading Member agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and byelaws of the relevant stock exchange/SEBI.
4. The client agrees to abide by the exposure limits, if any, set by the Trading Member or by the Exchange or Clearing Corporation or SEBI from time to time.
5. Without prejudice to the Trading Member's other rights (including the right to refer a matter to arbitration), the Trading Member shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities / obligations. Any and all losses and financial charges on account of such liquidation / closing-out shall be charged to and borne by the client.
6. The Trading Member agrees that the money / securities deposited by the client shall be kept in a separate account, distinct from his / its own account or account of any other client and shall not be used by the Trading Member for himself / itself or for any other client or for any purpose other than the purposes mentioned in SEBI Rules and Regulations Circulars / Guidelines / Exchange's Rules / Regulations / Byelaws and circulars.
7. The client agrees to immediately furnish information to the Trading Member in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
8. The Trading Member agrees to inform the client and keep him apprised about trading / settlement cycles, delivery / payment schedules, any changes therein from time to time, and it shall be the responsibility in turn of the client to comply with such schedules / procedures of the stock exchange.
9. In the event of death or insolvency of the client or his/its otherwise becoming incapable of receiving and paying for any contracts which the client has ordered to be bought or sold, or of delivering or transferring securities, the Trading



Member may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his successors, heirs and assigns shall be entitled to any surplus which may result there from.

10. The Trading Member agrees that it shall co-operate in redressing grievances of the client in respect of transactions routed through it and in removing objections for bad delivery of shares, rectification of bad delivery, etc. in respect of shares and securities delivered / to be delivered or received / to be received by the client.
11. The Trading Member shall continue to be responsible for replacing bad deliveries of the client in accordance with applicable "Good & bad delivery norms" even after termination of the agreement and shall be entitled to recover any loss incurred by him in such connection from the client.
12. The Trading Member shall ensure due protection to the client regarding client's rights to dividends, rights or bonus shares, etc. in respect of transactions routed through it and it shall not do anything which is likely to harm the interest of the client with whom and for whom it may have had transactions in securities.
13. The client and the Trading Member agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange and circulars issued there under as may be in force from time to time.
14. The Trading Member hereby agrees that it shall ensure faster settlement of any arbitration proceedings arising out of the transactions entered into between him and the client and that he shall be liable to implement the arbitration awards made in such proceedings.
15. Information about default in payment / delivery and related aspects by a client shall be brought to the notice of the relevant Stock Exchange(s). In case where defaulting Client is a Corporate Entity / Partnership / Proprietary Firm or any other artificial legal entity, then the name(s) of Director(s) / Promoter(s) / Partner(s) / Proprietor as the case may be, shall also be communicated to the relevant Stock Exchange(s).
16. The Trading Member and the Client agree to reconcile their accounts at the end of each quarter with reference to all the settlements where payouts have been declared during the quarter.
17. The Trading Member and the Client agree to abide by any award passed by the Ombudsman under the SEBI (Ombudsman) Regulations, 2003.
18. The Trading Member and the Client declare and agree that the transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars issued there under of the Exchange and all parties to such trade shall have submitted to the jurisdiction of such court as may be specified by the Byelaws and Regulations of the Exchange for the purpose of giving effect to the provisions of the Rules, Byelaws and Regulations of the Exchange and the circulars issued there under.
19. The instructions issued by an authorised representative, if any, of the client shall be binding on the client in accordance with the letter authorising the said representative to deal on behalf of the said client.
20. Where the Exchange cancels trade(s) suo-moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled.
21. This agreement shall forthwith terminate; if the Trading Member for any reason ceases to be a member of the stock exchange including cessation of membership by reason of the Trading Member's default, death, resignation or expulsion or if the certificate issued by the Board is cancelled.
22. The Trading Member and the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties or his / its respective heirs, executors, administrators, legal representatives or successors, as the case may be.
23. In addition to the specific rights set out in this Agreement, the Trading Member and the client shall be entitled to exercise any other rights which the Trading Member or the client may have under the Rules, Byelaws and Regulations of the



Exchange and circulars issued there under or Rules and Regulations of SEBI.

- 24. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under.
- 25. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations, guidelines and circulars issued by SEBI and Rules, Regulations and Byelaws of the relevant stock exchange that may be in force from time to time.
- 26. The Trading Member hereby undertakes to maintain the details of the client as mentioned in the client registration form or any other information pertaining to the client in confidence and that it shall not disclose the same to any person/authority except as required under any law/regulatory requirements; Provided however that the Trading Member may so disclose information about its his client to any person or authority with the express permission of the client.

This agreement can be altered, amended and /or modified by the parties mutually in writing without derogating from the contents of this Agreement.

Provided however, if the rights and obligations of the parties hereto are altered by virtue of change in Rules and Regulations of SEBI or Byelaws, Rules and Regulations of the relevant Stock Exchange, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this agreement.

IN WITNESS THEREOF the parties to the Agreement have caused these presents to be executed as of the day and year first above written.

The Client's Signature / Authorised Signatory :

For **EUREKA STOCK & SHARE BROKING SERVICES LIMITED:**

 _____

Authorised Signatory / Director

28 |
Signed by :

Signed by :

Title :

Title :

Name of the Client :

Witness :

Witness :

1. Name

1. Name

Address

Address

Signature :

Signature :

2. Name

2. Name

Address

Address

Signature :

Signature :

Note : All references to the specific quantity / rate / fee mentioned in this agreement are subject to change from time to time, as so agreed to in writing between the parties.

**COMBINED RISK DISCLOSURE DOCUMENT FOR CAPITAL MARKET,
FUTURES & OPTIONS AND CURRENCY DERIVATIVES SEGMENTS**

[TO BE GIVEN BY THE TRADING MEMBER TO THE CLIENT]

This document is issued by the member of National Stock Exchange of India Limited (hereinafter referred to as "NSE") / Bombay Stock Exchange Ltd (hereinafter referred to as "BSE") which has been formulated by the Exchanges in coordination with the Securities and Exchange Board of India (hereinafter referred to as "SEBI") and contains important information on trading in Equities, F&O and Currency Derivatives Segments of NSE / BSE. All prospective constituents should read this document before trading in Equities / F&O / Currency Derivatives Segments of the Exchanges.

NSE / BSE / SEBI does neither singly or jointly and expressly nor impliedly guarantee nor make any representation concerning the completeness, the adequacy or accuracy of this disclosure document nor has NSE/BSE/SEBI endorsed or passed any merits of participating in the trading segments. This brief statement does not disclose all the risks and other significant aspects of trading.

In the light of the risks involved, you should undertake transactions only if you understand the nature of the contractual relationship into which you are entering and the extent of your exposure to risk.

You must know and appreciate that trading in Equity shares, F&O Contracts, Currency Derivatives Contracts or other instruments traded on the Stock Exchange(s), which have varying element of risk, is generally not an appropriate avenue for someone of limited resources/limited investment and/or trading experience and low risk tolerance. You should therefore carefully consider whether such trading is suitable for you in the light of your financial condition. In case you trade on NSE/ BSE and suffer adverse consequences or loss, you shall be solely responsible for the same and NSE/BSE, its Clearing Corporation and / or SEBI shall not be responsible, in any manner whatsoever, for the same and it will not be open for you to take a plea that no adequate disclosure regarding the risks involved was made or that you were not explained the full risk involved by the concerned member. The constituent shall be solely responsible for the consequences and no contract can be rescinded on that account. You must acknowledge and accept that there can be no guarantee of profits or no exception from losses while executing orders for purchase and/or sale of a Currency Derivatives Contract being traded on NSE/BSE.

It must be clearly understood by you that your dealings on NSE/BSE through a member shall be subject to your fulfilling certain formalities set out by the member, which may inter alia include your filling the know your client form, client registration form, execution of an agreement, etc., and are subject to the Rules, Byelaws and Regulations of NSE/BSE and its Clearing Corporation, guidelines prescribed by SEBI and in force from time to time and Circulars as may be issued by NSE/BSE or its Clearing Corporation and in force from time to time.

NSE/BSE does not provide or purport to provide any advice and shall not be liable to any person who enters into any business relationship with any trading member and/or any third party based on any information contained in this document. Any information contained in this document must not be construed as business advice. No consideration to trade should be made without thoroughly understanding and reviewing the risks involved in such trading. If you are unsure, you must seek professional advice on the same.

In considering whether to trade or authorise someone to trade for you, you should be aware of or must get acquainted with the following:-

1. BASIC RISKS

1.1 Risk of Higher Volatility:

Volatility refers to the dynamic changes in price that a Security / F&O Contract / Currency Derivatives Contract undergoes when trading activity continues on the Stock Exchange. Generally, higher the volatility of a Security / F&O Contract / Currency Derivatives Contract, greater is its price swings. There may be normally greater volatility in thinly traded Securities / F&O Contracts / Currency Derivatives Contracts than in active Securities / F&O Contracts / Currency Derivatives Contracts. As a result of volatility, your order may only be partially executed or not executed at all, or the price at which your order got executed may be substantially different from the last traded price or change substantially thereafter, resulting in notional or real losses.

1.2 Risk of Lower Liquidity:

Liquidity refers to the ability of market participants to buy and/or sell Securities / F&O Contracts / Currency Derivatives Contracts expeditiously at a competitive price and with minimal price difference. Generally, it is assumed that more the numbers of orders available in a market, greater is the liquidity. Liquidity is important because with greater liquidity, it



is easier for investors to buy and/or sell Securities / F&O Contracts / Currency Derivatives Contracts swiftly and with minimal price difference, and as a result, investors are more likely to pay or receive a competitive price for Securities / F&O Contracts / Currency Derivatives Contracts purchased or sold. There may be a risk of lower liquidity in some securities / F&O Contracts / Currency Derivatives Contracts as compared to active Securities / F&O Contracts / Currency Derivatives Contracts. As a result, your order may only be partially executed, or may be executed with relatively greater price difference or may not be executed at all.

1.2.1 Buying or Selling Securities / F&O Contracts / Currency Derivatives Contracts as part of a day trading strategy may also result into losses, because in such a situation, Securities / F&O Contracts / Currency Derivatives Contracts may have to be sold/purchased at a low/high prices, compared to the expected price levels, so as not to have any open position or obligation to deliver or receive a Security / F&O Contract / Currency Derivatives Contract.

1.3 Risk of Wider Spreads:

Spread refers to the difference in best buy price and best sell price. It represents the differential between the price of buying a Security / F&O Contract / Currency Derivatives Contract and immediately selling it or vice versa. Lower liquidity and higher volatility may result in wider than normal spreads for less liquid or illiquid Securities / F&O Contracts / Currency Derivatives Contracts. This in turn will hamper better price formation.

1.4 Risk-reducing orders:

The placing of orders (e.g., "stop loss" orders, or "limit" orders) which are intended to limit losses to certain amounts may not be effective many a time because rapid movement in market conditions may make it impossible to execute such orders.

1.4.1 A "market" order will be executed promptly, subject to availability of orders on opposite side, without regard to price and that, while the customer may receive a prompt execution of a "market" order, the execution may be at available prices of outstanding orders, which satisfy the order quantity, on price time priority. It may be understood that these prices may be significantly different from the last traded price or the best price in that Security / F&O Contract / Currency Derivatives Contract.

1.4.2 A "limit" order will be executed only at the "limit" price specified for the order or a better price. However, while the customer receives price protection, there is a possibility that the order may not be executed at all.

1.4.3 A stop loss order is generally placed "away" from the current price of a Stock / F&O Contract / Currency Derivatives Contract, and such order gets activated if and when the Security / F&O Contract / Currency Derivatives Contract reaches, or trades through, the stop price. Sell stop orders are entered ordinarily below the current price, and buy stop orders are entered ordinarily above the current price. When the Security / F&O Contract / Currency Derivatives Contract reaches the pre-determined price, or trades through such price, the stop loss order converts to a market/limit order and is executed at the limit or better. There is no assurance therefore that the limit order will be executable since a Security / F&O Contract / Currency Derivatives Contract might penetrate the pre-determined price, in which case, the risk of such order not getting executed arises, just as with a regular limit order.

1.5 Risk of News Announcements:

News announcements that may impact the price of Stock / F&O Contract / Currency Derivatives Contract may occur during trading, and when combined with lower liquidity and higher volatility, may suddenly cause an unexpected positive or negative movement in the price of the Security / Contract.

1.6 Risk of Rumours:

Rumours about Companies / Currencies at times float in the market through word of mouth, newspapers, websites or news agencies, etc. The investors should be wary of and should desist from acting on rumours.

1.7 System Risk:

High volume trading will frequently occur at the market opening and before market close. Such high volumes may also occur at any point in the day. These may cause delays in order execution or confirmation.

1.7.1 During periods of volatility, on account of market participants continuously modifying their order quantity or prices or placing fresh orders, there may be delays in order execution and its confirmations.

1.7.2 Under certain market conditions, it may be difficult or impossible to liquidate a position in the market at a reasonable price or at all, when there are no outstanding orders either on the buy side or the sell side, or if trading is halted in a



Security / F&O Contract / Currency Derivatives Contract due to any action on account of unusual trading activity or Security / F&O Contract / Currency Derivatives Contract hitting circuit filters or for any other reason.

1.8 System/Network Congestion:

Trading on NSE/BSE is in electronic mode, based on satellite/leased line based communications, combination of technologies and computer systems to place and route orders. Thus, there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, or any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond control and may result in delay in processing or not processing buy or sell orders either in part or in full. You are cautioned to note that although these problems may be temporary in nature, but when you have outstanding open positions or unexecuted orders, these represent a risk because of your obligations to settle all executed transactions.

2. AS FAR AS FUTURES AND OPTIONS SEGMENT AND CURRENCY DERIVATIVES SEGMENTS ARE CONCERNED, PLEASE NOTE AND GET YOURSELF ACQUAINTED WITH THE FOLLOWING ADDITIONAL FEATURES:-

2.1 Effect of "Leverage" or "Gearing"

In the Derivatives Market, the amount of margin is small relative to the value of the Derivatives Contract so the transactions are 'leveraged' or 'geared'. Derivatives trading, which is conducted with a relatively small amount of margin, provides the possibility of great profit or loss in comparison with the margin amount. But transactions in derivatives carry a high degree of risk.

You should therefore completely understand the following statements before actually trading in derivatives and also trade with caution while taking into account one's circumstances, financial resources, etc. If the prices move against you, you may lose a part of or whole margin amount in a relatively short period of time. Moreover, the loss may exceed the original margin amount.

- A.** Futures trading involves daily settlement of all positions. Every day the open positions are marked to market based on the closing level of the Index / F&O Contract / Currency Derivatives Contract . If the contract has moved against you, you will be required to deposit the amount of loss (notional) resulting from such movement. This amount will have to be paid within a stipulated time frame, generally before commencement of trading on next day.
- B.** If you fail to deposit the additional amount by the deadline or if an outstanding debt occurs in your account, the broker/member may liquidate a part of or the whole position or substitute securities. In this case, you will be liable for any losses incurred due to such close-outs.
- C.** Under certain market conditions, an investor may find it difficult or impossible to execute transactions. For example, this situation can occur due to factors such as illiquidity i.e. when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.
- D.** In order to maintain market stability, the following steps may be adopted: changes in the margin rate, increases in the cash margin rate or others. These new measures may also be applied to the existing open interests. In such conditions, you will be required to put up additional margins or reduce your positions.
- E.** You must ask your broker to provide the full details of F&O Contracts / Currency Derivatives Contracts you plan to trade i.e. the contract specifications and the associated obligations.

2.2 Currency Specific Risks

- 1. The profit or loss in transactions in foreign currency-denominated contracts, whether they are traded in your own or another jurisdiction, will be affected by fluctuations in currency rates where there is a need to convert from the currency denomination of the contract to another currency.
- 2. Under certain market conditions, you may find it difficult or impossible to liquidate a position. This can occur, for example when a currency is deregulated or fixed trading bands are widened.
- 3. Currency prices are highly volatile. Price movements for currencies are influenced by, among other things: changing supply-demand relationships; trade, fiscal, monetary, exchange control programs and policies of governments; foreign political and economic events and policies; changes in national and international interest rates and inflation; currency devaluation; and sentiment of the market place. None of these factors can be controlled by any individual advisor and no assurance can be given that an advisor's advice will result in profitable trades for a participating customer or that a customer will not incur losses from such events.



2.3 Risk of Option holders

1. An option holder runs the risk of losing the entire amount paid for the option in a relatively short period of time. This risk reflects the nature of an option as a wasting asset which becomes worthless when it expires. An option holder who neither sells his option in the secondary market nor exercises it prior to its expiration will necessarily lose his entire investment in the option. If the price of the underlying does not change in the anticipated direction before the option expires to an extent sufficient to cover the cost of the option, the investor may lose all or a significant part of his investment in the option.
2. The Exchange may impose exercise restrictions and have absolute authority to restrict the exercise of options at certain times in specified circumstances.

2.4 Risks of Option Writers

1. If the price movement of the underlying is not in the anticipated direction, the option writer runs the risks of losing substantial amount.
2. The risk of being an option writer may be reduced by the purchase of other options on the same underlying interest and thereby assuming a spread position or by acquiring other types of hedging positions in the options markets or other markets. However, even where the writer has assumed a spread or other hedging position, the risks may still be significant. A spread position is not necessarily less risky than a simple 'long' or 'short' position.
3. Transactions that involve buying and writing multiple options in combination, or buying or writing options in combination with buying or selling short the underlying interests, present additional risks to investors. Combination transactions, such as option spreads, are more complex than buying or writing a single option. And it should be further noted that, as in any area of investing, a complexity not well understood is, in itself, a risk factor. While this is not to suggest that combination strategies should not be considered, it is advisable, as is the case with all investments in options, to consult with someone who is experienced and knowledgeable with respect to the risks and potential rewards of combination transactions under various market circumstances.

3. GENERAL

3.1 Commission and other charges

Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

3.2 Deposited cash and property

You should familiarise yourself with the protections accorded to the money or other property you deposit particularly in the event of a firm insolvency or bankruptcy. The extent to which you may recover your money or property may be governed by specific legislation or local rules. In some jurisdictions, property which has been specifically identifiable as your own will be pro-rated in the same manner as cash for purposes of distribution in the event of a shortfall. In case of any dispute with the member, the same shall be subject to arbitration as per the byelaws / regulations of the Exchange.

3.3 For rights and obligations of the clients, please refer to Annexure-1 enclosed with this document.

3.4 The term 'constituent' shall mean and include a client, a customer or an investor, who deals with a member for the purpose of acquiring and/or selling of currency derivatives contracts through the mechanism provided by NSE/BSE.

3.5 The term 'member' shall mean and include a trading member, a broker or a stock broker, who has been admitted as such by NSE/BSE and who holds a registration certificate as a trading member from SEBI.

I hereby acknowledge that I have received and understood this risk disclosure statement and Annexure-1 containing my rights and obligations.



32 | Signature of the Client

(If Partner, Corporate, or other Signatory, then attest with company seal.)

DD - MM - YYYY

ANNEXURE-1

INVESTORS' RIGHTS AND OBLIGATIONS:

- 1.1 You should familiarise yourself with the protection accorded to the money or other property you may deposit with your member, particularly in the event of a default in the Cash Market / F&O Market / Currency Derivatives Market or the broking firm's insolvency or bankruptcy.
 - 1.1.1 Please ensure that you have a documentary proof of your having made deposit of such money or property with the member, stating towards which account such money or property deposited.
 - 1.1.2 Further, it may be noted that the extent to which you may recover such money or property may be governed by the Byelaws and Regulations of NSE/BSE and the scheme of the Investors' Protection Fund in force from time to time.
 - 1.1.3 Any dispute with the member with respect to deposits, margin money, etc., and producing an appropriate proof thereof, shall be subject to arbitration as per the Rules, Byelaws / Regulations of NSE/BSE or its Clearing Corporation.
- 1.2 Before you begin to trade, you should obtain a clear idea from your member of all brokerage, commissions, fees and other charges which will be levied on you for trading. These charges will affect your net cash inflow or outflow.
- 1.3 You should exercise due diligence and comply with the following requirements of the NSE/BSE and/or SEBI:
 - 1.3.1 Please deal only with and through SEBI registered members of the Stock Exchange and are enabled to trade on the Exchange. All SEBI registered members are given a registration no., which may be verified from SEBI. The details of all members of NSE/BSE and whether they are enabled to trade may be verified from NSE/BSE website (www.nseindia.com / www.bseindia.com).
 - 1.3.2 Demand any such information, details and documents from the member, for the purpose of verification, as you may find it necessary to satisfy yourself about his credentials.
 - 1.3.3 Furnish all such details in full as are required by the member as required in "**Know Your Client**" form, which may also include details of PAN or Passport or Driving Licence or Voters Id, or Ration Card, bank account and depository account, or any such details made mandatory by SEBI/NSE/BSE at any time, as is available with the investor.
 - 1.3.4 Execute a Broker - Client Agreement in the form prescribed by SEBI and/or the Relevant Authority of NSE / BSE or its Clearing Corporation from time to time, because this may be useful as a proof of your dealing arrangements with the member.
 - 1.3.5 Give any order for buy or sell of a Security / Derivative Contract in writing or in such form or manner, as may be mutually agreed. Giving instructions in writing ensures that you have proof of your intent, in case of disputes with the member.
 - 1.3.6 Ensure that a contract note is issued to you by the member which contains minute records of every transaction. Verify that the contract note contains details of order number, trade number, trade time, trade price, trade quantity, details of the Currency Derivatives Contract, Client Code allotted to you and showing the brokerage separately. Contract Notes are required to be given/sent by the member to the investors latest on the next working day of the trade. Contract Note can be issued by the member either in electronic form using digital signature as required, or in hard copy. In case you do not receive a Contract Note on the next working day or at a mutually agreed time, please get in touch with the Investors Grievance Cell of NSE/BSE, without delaying.
 - 1.3.7 Facility of Trade Verification is available on NSE/BSE website (www.nseindia.com / www.bseindia.com), where details of trade as mentioned in the contract note may be verified from the trade date up to five trading days. Where trade details on the website, do not tally with the details mentioned in the contract note, immediately get in touch with the Investors Grievance Cell of NSE/BSE.



- 1.3.8** Ensure that payment of funds against settlement is given to the concerned member within one working day prior to the date of pay-in announced by NSE/BSE or its Clearing Corporation. Payments should be made only by account payee cheque in favour of the firm/company of the trading member and a receipt or acknowledgement towards what such payment is made be obtained from the member.
- 1.3.9** In case pay-out of funds is not received on the next working day after date of pay-out announced by NSE/BSE or its Clearing Corporation, please follow-up with the concerned member for its receipt. In case pay-out is not received as above from the member within five working days, ensure that you lodge a complaint immediately with the Investors' Grievance Cell of NSE/BSE.
- 1.3.10** Every member is required to send a complete 'Statement of Accounts', for both settlements and margins to each of its constituents, at such periodicity as may be prescribed from time to time. You should report errors, if any, in the Statement immediately, but not later than 30 calendar days of receipt thereof, to the member. In case the error is not rectified or there is a dispute, ensure that you refer such matter to the Investors Grievance Cell of NSE/BSE, without delaying.
- 1.3.11** In case of a complaint against a member, you should address the complaint to the Office as may be specified by NSE/BSE from time to time.
- 1.4** In case where a member surrenders his membership, NSE/BSE gives a public notice inviting claims, if any, from investors. In case of a claim, relating to "transactions executed on the trading system" of NSE/BSE, ensure that you lodge a claim with NSE/BSE/Clearing Corporation within the stipulated period and with the supporting documents.
- 1.5** In case where a member is expelled from trading membership or declared a defaulter, NSE/BSE gives a public notice inviting claims, if any, from investors. In case of a claim, relating to "transactions executed on the trading system" of NSE/BSE, ensure that you lodge a claim with NSE/BSE within the stipulated period and with the supporting documents.
- 1.6** Claims against a defaulter/expelled member found to be valid as prescribed in the relevant Rules/Byelaws and the scheme under the Investors' Protection Fund (IPF) may be payable as prescribed by SEBI.

Notes:

1. The term '**constituent**' shall mean and include a client, a customer or an investor, who deals with a trading member of NSE/BSE for the purpose of buying and / or selling of Securities / F&O Contracts / Currency Derivatives Contracts through the mechanism provided by NSE/BSE.
2. The term '**member**' shall mean and include a trading member or a broker or a stock broker, who has been admitted as such by NSE/BSE and who holds a registration certificate from SEBI.
3. The term '**contract**' refer to a F&O / Currency Derivatives Contract and the term 'underlying' refers to the Underlying Index / Stock / Currency of such Contract.



34 | Signature of the Client

TRIPARTITE AGREEMENT BETWEEN TRADING MEMBER, SUB-BROKER AND CLIENT

This Agreement (hereinafter referred to as "Agreement") is entered into on this day of 20....., by and between **Eureka Stock & Share Broking Services Limited** (hereinafter referred to as "the trading member"), a Company and having its office / registered office at **7, Lyons Range, 2nd floor, Room No. 1, Kolkata - 700001**, which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include its heirs, executors, administrators and legal representatives/the partners for the time being of the said entity, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, **of the One Part;**

AND

..... (name of the sub-broker) (hereinafter referred to as "the sub-broker"), a (type of entity) and having his/its office/registered office at (address), which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said entity, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, **of the Second Part;**

AND

..... (name of the client of the sub-broker) (hereinafter referred to as "the client"), an individual/a (type of entity) and having his / its residence/office/ at (address) which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said entity, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, **of the Third Part;**

WHEREAS

1. The trading member states that it is engaged, in the business of stock broking and is a Member of National Stock Exchange of India Limited, (hereinafter referred to as "the stock exchange") with SEBI Registration Number(s) **INB 230068430 / INF 230068430 / INE 230068430**.
2. The sub-broker states that :
 - a) The sub-broker is recognised by National Stock Exchange of India Limited as a sub-broker affiliated to the trading member of the stock exchange with sub-broker SEBI Registration Number(s)
 - b) The sub-broker is not affiliated to any other member of the same stock exchange,
 - c) The sub-broker has the necessary infrastructure like adequate office space, equipment and manpower to effectively discharge his/its activities.
3. The Client is registered with the sub-broker as a client for purpose of availing broking services through the sub-broker affiliated to the trading member and is desirous of investing/trading in those securities/contracts/other instruments admitted to dealings on the Exchange as defined in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under from time to time. A copy of the Client Registration form is annexed hereto.
4. Whereas the trading member has satisfied and shall continuously satisfy itself about the genuineness and financial soundness of the client and investment objectives relevant to the services to be provided; and
5. Whereas the trading member has taken steps and shall take steps to make the client aware of the precise nature of the Trading member's/sub broker's liability for business to be conducted, including any limitations, the liability and the capacity in which the trading member/sub broker acts.

 35 | Signature of the Client

 36 | Signature of the Client

 37 | Signature of the Client

 _____
38 | Signature of the Client

**NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY
AND BETWEEN TRADING MEMBER, SUB-BROKER AND CLIENT AS UNDER:**

1. The trading member and sub broker hereby acknowledge and confirm that the sub-broker is affiliated to the trading member and that the sub-broker shall within the scope of the authority given under these presents, be entitled to act as a 'sub-broker' within the meaning and subject to SEBI (Stock Brokers and Sub- Brokers) Rules, 1992 and SEBI (Stock Brokers and Sub-Brokers) Regulations 1992 (hereinafter referred to as the said "Rules" and "Regulations" respectively) as amended from time to time, for assisting the client in buying, selling or dealing in securities through the trading member.
2. The trading member, the sub-broker and the client agree that they shall abide by all the statutory responsibilities and obligations imposed on them by the rules, regulations and / or any other rules or regulations applicable to the trading member, the sub-broker and the client in general either framed by SEBI or by the relevant stock exchange/clearing corporation and/or any Government Circulars.
3. The trading member and the sub broker declare that they have brought the contents of the risk disclosure document to the notice of client and made him aware of the significance of the said document. The client agrees that:
 - a. He has read and understood the risks involved in trading on a stock exchange.
 - b. He shall be wholly responsible for all his investment decisions and trades.
 - c. The failure of the client to understand the risk involved shall not render a contract as void or voidable and the client shall be and shall continue to be responsible for all the risks and consequences for entering into trades in the segments in which the client chose to trade.
 - d. He is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the trading member or the Exchange or as may be directed by SEBI from time to time as applicable to the segment(s) in which the client trades. The trading member is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange, Clearing House/Clearing Corporation or SEBI) and the client shall be obliged to pay such margins within the stipulated time.
 - e. Payment of margins by the client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate/require.
4. The Client agrees to pay to the trading member brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that trading member / the sub-broker renders to the Client. The trading member agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and Byelaws of the relevant stock exchange/SEBI.
5. The trading member and the sub broker agree that they shall co-operate and help each other in redressing grievances of the client in respect of transactions routed through them and in removing objections for bad delivery of shares, rectification of bad delivery, etc. in respect of shares and securities delivered/to be delivered or received/to be received by the clients of sub-broker from the member and vice-versa.
6. The trading member shall continue to be responsible for replacing bad deliveries of the client in accordance with applicable "Good & bad delivery norms" even after termination of the agreement and shall be entitled to recover any loss incurred by him in such connection from the client.
7. The trading member agrees that the money/securities deposited by the client shall be kept in a separate account, distinct from his/its own account or account of any other client and shall not be used by the trading member for himself/itself or for any other client or for any purpose other than the purposes mentioned in SEBI Rules and Regulations circulars/ guidelines/Exchanges Rules/Regulations/Byelaws and circulars.
8. The trading member and the sub-broker agree that each of them shall ensure due protection to the client regarding client's rights to dividends, rights or bonus shares, etc. in respect of transactions routed through them and they shall not jointly or severally do anything which is likely to harm the interest of the client with whom and for whom they may have had transactions in securities.
9. The trading member agrees to inform the sub-broker/client and keep them apprised about trading/settlement cycles, delivery/payment schedules, any changes therein from time to time, and it shall be the responsibility in turn of the sub-broker/client to comply with such schedules/procedures of the relevant stock exchange.
10. The sub broker will provide assistance to trading member and client to reconcile their accounts at the end of each quarter with reference to all the settlements where payouts have been declared during the quarter.
11. The trading member shall issue, individually for each client of his sub broker, contract notes in the format prescribed by the relevant stock exchange. The sub-broker shall render necessary assistance to his client in obtaining the contract note from the trading member.



12. The trading member, the sub-broker and the client agree to abide by any award passed by the Ombudsman under the SEBI (Ombudsman) Regulations, 2003.
13. The client and the trading member agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange and circulars issued there under as may be in force from time to time.
14. The trading member and the sub-broker hereby agree that they will assist and co-operate with each other in ensuring faster settlement of any arbitration proceedings arising out of the transactions entered into between them vis-à-vis the client and they shall be jointly or severally liable to implement the arbitration awards made in such proceedings. In case of an award against a sub broker, if the sub broker fails to implement the award, the trading member shall be liable to implement the same and would be entitled to recover the same from the sub broker.
15. The trading member and the sub-broker hereby agree that all transactions in securities on behalf of the clients of the sub-broker shall be settled by delivery and/or payment, between the trading member and the client in accordance with the provisions of rules, Byelaws and regulations of the relevant stock exchange on which the transactions took place and subject to the procedures for settlement of transactions laid down by the relevant stock exchange from time to time.
16. Information about default in payment/delivery and related aspects by a client, including that of a sub broker as a client shall be brought to the notice of the relevant stock Exchange(s) by the trading member. In case where defaulting sub broker/client is a corporate entity / partnership / proprietary firm or any other artificial legal entity, then the name(s) of Director(s) / Promoter(s) / Partner(s) / Proprietor as the case may be, shall also be communicated to the relevant stock exchange(s) by the trading member.
17. The trading member, the sub-broker or the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties at their respective addresses mentioned below. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties or his / its respective heirs, executors, administrators, legal representatives or successors, as the case may be.
18. In the event of sub broker terminating this agreement and/or termination of the agreement with the sub broker by the trading member, for any reason whatsoever, the client shall be informed of such termination and the client shall be deemed to be the direct client of the trading member and all clauses in the agreement governing the client and trading member shall continue to be in force as it is, unless the client intimates to the trading member his/its intention to terminate the agreement by giving a notice in writing of not less than one month.
19. This agreement shall forthwith terminate;
 - (i) if the trading member for any reason ceases to be a member of the stock exchange including cessation of membership by reason of the trading member's default, death, resignation or expulsion or if the certificate issued by the Board is cancelled;
 - (ii) upon the demise/insolvency of the sub-broker or the cancellation of his/its registration with the Board or/ withdrawal of recognition of the sub-broker by the stock exchange. Provided however, in such an event, the client shall be informed of such termination and the client shall be deemed to be the direct client of the trading member and all clauses in the agreement governing the client and trading member shall continue to be in force as it is, unless the client intimate to the trading member or the trading member intimates to the client his / its intention to terminate the agreement by giving one month notice in writing.
20. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations, guidelines and circulars issued by SEBI and Rules, Regulations and Byelaws of the relevant stock exchange that may be in force from time to time.
21. In the event of death or insolvency of the client or his/its otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, trading member may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his successors, heirs and assigns shall be entitled to any surplus which may result there from.
22. Without prejudice to the trading member's other rights (including the right to refer a matter to arbitration), the trading member shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities/ obligations. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client.
23. The trading member and the client declare and agree that the transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars issued there under of the Exchange and all parties to such trade shall have



submitted to the jurisdiction of such court as may be specified by the Byelaws and Regulations of the Exchange for the purpose of giving effect to the provisions of the Rules, Byelaws and Regulations of the Exchange and the circulars issued there under.

24. Where the Exchange cancels trade(s) suo-moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled, member shall be entitled to cancel the respective contract(s) with client(s).
25. The instructions issued by an authorised representative, if any, of the client shall be binding on the client in accordance with the letter authorising the said representative to deal on behalf of the said client.
26. The client agrees to immediately notify the trading member/sub broker in writing if there is any change in the information in the 'client registration form' provided by the client to the trading member/sub broker at the time of opening of the account or at any time thereafter.
27. The client agrees to abide by the exposure limits, if any, set by the trading member or by the Exchange or Clearing Corporation or SEBI from time to time.
28. In addition to the specific rights set out in this Agreement, the trading member, the sub-broker and the client shall be entitled to exercise any other rights which the trading member, sub broker or the client may have under the Rules, Byelaws and Regulations of the Exchange and circulars issued there under or Rules and Regulations of SEBI.
29. The trading member and the sub-broker hereby undertake to maintain the details of the client as mentioned in the client registration form or any other information pertaining to the client in confidence and that they shall not disclose the same to any person/authority except as required under any law/regulatory requirements: Provided however that the stock broker or sub-broker may so disclose information about his client to any person or authority with the express permission of the client.
30. The client agrees to immediately furnish information to the trading member in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
31. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under.


This agreement can be altered, amended and /or modified by the parties mutually in writing without derogating from the contents of this Agreement. Provided however, if the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Byelaws, Rules and Regulations of the relevant Stock Exchange, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this agreement.

IN WITNESS WHEREOF, the parties hereto have set their hands and signatures on the day, month and year first above written Signed for and on behalf of the member, the sub-broker and the client.

Signed and delivered by

Client Name & Signature	Sub-BrokerName and Signature	For Eureka Stock & Share Broking Services Ltd.
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Name	Name	
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 _____	Signature	Authorised Signatory / Director
41 Signature of the Client		

<u>Witness</u>	<u>Witness</u>	<u>Witness</u>
Name	Name	Name
Address	Address	Address

Signature	Signature	Signature
Place		Dated : / /

TRIPARTITE AGREEMENT BETWEEN TRADING MEMBER, SUB-BROKER AND CLIENT

This Agreement (hereinafter referred to as "Agreement") is entered into on this day of 20....., by and between **Eureka Stock & Share Broking Services Limited** (hereinafter referred to as "the trading member"), a Company and having its office / registered office at **7, Lyons Range, 2nd floor, Room No. 1, Kolkata - 700001**, which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include its heirs, executors, administrators and legal representatives/the partners for the time being of the said entity, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, **of the One Part;**

AND

..... (name of the sub-broker) (hereinafter referred to as "the sub-broker"), a (type of entity) and having his/its office/registered office at (address), which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said entity, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, **of the Second Part;**

AND

..... (name of the client of the sub-broker) (hereinafter referred to as "the client"), an individual/a (type of entity) and having his / its residence/office/ at (address) which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said entity, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, **of the Third Part;**

WHEREAS

1. The trading member states that it is engaged, in the business of stock broking and is a Member of Bombay Stock Exchange Ltd, (hereinafter referred to as "the stock exchange") with SEBI Registration Number(s) **INB 010068436 / INF 010068436 / INE 010068436**.
2. The sub-broker states that :
 - a) The sub-broker is recognised by Bombay Stock Exchange Limited as a sub-broker affiliated to the trading member of the stock exchange with sub-broker SEBI Registration Number(s)
 - b) The sub-broker is not affiliated to any other member of the same stock exchange,
 - c) The sub-broker has the necessary infrastructure like adequate office space, equipment and manpower to effectively discharge his/its activities.
3. The Client is registered with the sub-broker as a client for purpose of availing broking services through the sub-broker affiliated to the trading member and is desirous of investing/trading in those securities/contracts/other instruments admitted to dealings on the Exchange as defined in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under from time to time. A copy of the Client Registration form is annexed hereto.
4. Whereas the trading member has satisfied and shall continuously satisfy itself about the genuineness and financial soundness of the client and investment objectives relevant to the services to be provided; and
5. Whereas the trading member has taken steps and shall take steps to make the client aware of the precise nature of the Trading member's/sub broker's liability for business to be conducted, including any limitations, the liability and the capacity in which the trading member/sub broker acts.

 42 | Signature of the Client

 43 | Signature of the Client

 44 | Signature of the Client

 _____
45 | Signature of the Client

**NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY
AND BETWEEN TRADING MEMBER, SUB-BROKER AND CLIENT AS UNDER:**

1. The trading member and sub broker hereby acknowledge and confirm that the sub-broker is affiliated to the trading member and that the sub-broker shall within the scope of the authority given under these presents, be entitled to act as a 'sub-broker' within the meaning and subject to SEBI (Stock Brokers and Sub- Brokers) Rules, 1992 and SEBI (Stock Brokers and Sub-Brokers) Regulations 1992 (hereinafter referred to as the said "Rules" and "Regulations" respectively) as amended from time to time, for assisting the client in buying, selling or dealing in securities through the trading member.
2. The trading member, the sub-broker and the client agree that they shall abide by all the statutory responsibilities and obligations imposed on them by the rules, regulations and / or any other rules or regulations applicable to the trading member, the sub-broker and the client in general either framed by SEBI or by the relevant stock exchange/clearing corporation and/or any Government Circulars.
3. The trading member and the sub broker declare that they have brought the contents of the risk disclosure document to the notice of client and made him aware of the significance of the said document. The client agrees that:
 - a. He has read and understood the risks involved in trading on a stock exchange.
 - b. He shall be wholly responsible for all his investment decisions and trades.
 - c. The failure of the client to understand the risk involved shall not render a contract as void or voidable and the client shall be and shall continue to be responsible for all the risks and consequences for entering into trades in the segments in which the client chose to trade.
 - d. He is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the trading member or the Exchange or as may be directed by SEBI from time to time as applicable to the segment(s) in which the client trades. The trading member is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange, Clearing House/Clearing Corporation or SEBI) and the client shall be obliged to pay such margins within the stipulated time.
 - e. Payment of margins by the client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate/require.
4. The Client agrees to pay to the trading member brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that trading member / the sub-broker renders to the Client. The trading member agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and Byelaws of the relevant stock exchange/SEBI.
5. The trading member and the sub broker agree that they shall co-operate and help each other in redressing grievances of the client in respect of transactions routed through them and in removing objections for bad delivery of shares, rectification of bad delivery, etc. in respect of shares and securities delivered/to be delivered or received/to be received by the clients of sub-broker from the member and vice-versa.
6. The trading member shall continue to be responsible for replacing bad deliveries of the client in accordance with applicable "Good & bad delivery norms" even after termination of the agreement and shall be entitled to recover any loss incurred by him in such connection from the client.
7. The trading member agrees that the money/securities deposited by the client shall be kept in a separate account, distinct from his/its own account or account of any other client and shall not be used by the trading member for himself/itself or for any other client or for any purpose other than the purposes mentioned in SEBI Rules and Regulations circulars/ guidelines/Exchanges Rules/Regulations/Byelaws and circulars.
8. The trading member and the sub-broker agree that each of them shall ensure due protection to the client regarding client's rights to dividends, rights or bonus shares, etc. in respect of transactions routed through them and they shall not jointly or severally do anything which is likely to harm the interest of the client with whom and for whom they may have had transactions in securities.
9. The trading member agrees to inform the sub-broker/client and keep them apprised about trading/settlement cycles, delivery/payment schedules, any changes therein from time to time, and it shall be the responsibility in turn of the sub-broker/client to comply with such schedules/procedures of the relevant stock exchange.
10. The sub broker will provide assistance to trading member and client to reconcile their accounts at the end of each quarter with reference to all the settlements where payouts have been declared during the quarter.
11. The trading member shall issue, individually for each client of his sub broker, contract notes in the format prescribed by the relevant stock exchange. The sub-broker shall render necessary assistance to his client in obtaining the contract note from the trading member.



12. The trading member, the sub-broker and the client agree to abide by any award passed by the Ombudsman under the SEBI (Ombudsman) Regulations, 2003.
13. The client and the trading member agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange and circulars issued there under as may be in force from time to time.
14. The trading member and the sub-broker hereby agree that they will assist and co-operate with each other in ensuring faster settlement of any arbitration proceedings arising out of the transactions entered into between them vis-à-vis the client and they shall be jointly or severally liable to implement the arbitration awards made in such proceedings. In case of an award against a sub broker, if the sub broker fails to implement the award, the trading member shall be liable to implement the same and would be entitled to recover the same from the sub broker.
15. The trading member and the sub-broker hereby agree that all transactions in securities on behalf of the clients of the sub-broker shall be settled by delivery and/or payment, between the trading member and the client in accordance with the provisions of rules, Byelaws and regulations of the relevant stock exchange on which the transactions took place and subject to the procedures for settlement of transactions laid down by the relevant stock exchange from time to time.
16. Information about default in payment/delivery and related aspects by a client, including that of a sub broker as a client shall be brought to the notice of the relevant stock Exchange(s) by the trading member. In case where defaulting sub broker/client is a corporate entity / partnership / proprietary firm or any other artificial legal entity, then the name(s) of Director(s) / Promoter(s) / Partner(s) / Proprietor as the case may be, shall also be communicated to the relevant stock exchange(s) by the trading member.
17. The trading member, the sub-broker or the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties at their respective addresses mentioned below. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties or his / its respective heirs, executors, administrators, legal representatives or successors, as the case may be.
18. In the event of sub broker terminating this agreement and/or termination of the agreement with the sub broker by the trading member, for any reason whatsoever, the client shall be informed of such termination and the client shall be deemed to be the direct client of the trading member and all clauses in the agreement governing the client and trading member shall continue to be in force as it is, unless the client intimates to the trading member his/its intention to terminate the agreement by giving a notice in writing of not less than one month.
19. This agreement shall forthwith terminate;
 - (i) if the trading member for any reason ceases to be a member of the stock exchange including cessation of membership by reason of the trading member's default, death, resignation or expulsion or if the certificate issued by the Board is cancelled;
 - (ii) upon the demise/insolvency of the sub-broker or the cancellation of his/its registration with the Board or/ withdrawal of recognition of the sub-broker by the stock exchange. Provided however, in such an event, the client shall be informed of such termination and the client shall be deemed to be the direct client of the trading member and all clauses in the agreement governing the client and trading member shall continue to be in force as it is, unless the client intimate to the trading member or the trading member intimates to the client his / its intention to terminate the agreement by giving one month notice in writing.
20. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations, guidelines and circulars issued by SEBI and Rules, Regulations and Byelaws of the relevant stock exchange that may be in force from time to time.
21. In the event of death or insolvency of the client or his/its otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, trading member may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his successors, heirs and assigns shall be entitled to any surplus which may result there from.
22. Without prejudice to the trading member's other rights (including the right to refer a matter to arbitration), the trading member shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities/ obligations. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client.
23. The trading member and the client declare and agree that the transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars issued there under of the Exchange and all parties to such trade shall have



submitted to the jurisdiction of such court as may be specified by the Byelaws and Regulations of the Exchange for the purpose of giving effect to the provisions of the Rules, Byelaws and Regulations of the Exchange and the circulars issued there under.

24. Where the Exchange cancels trade(s) suo-moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled, member shall be entitled to cancel the respective contract(s) with client(s).
25. The instructions issued by an authorised representative, if any, of the client shall be binding on the client in accordance with the letter authorising the said representative to deal on behalf of the said client.
26. The client agrees to immediately notify the trading member/sub broker in writing if there is any change in the information in the 'client registration form' provided by the client to the trading member/sub broker at the time of opening of the account or at any time thereafter.
27. The client agrees to abide by the exposure limits, if any, set by the trading member or by the Exchange or Clearing Corporation or SEBI from time to time.
28. In addition to the specific rights set out in this Agreement, the trading member, the sub-broker and the client shall be entitled to exercise any other rights which the trading member, sub broker or the client may have under the Rules, Byelaws and Regulations of the Exchange and circulars issued there under or Rules and Regulations of SEBI.
29. The trading member and the sub-broker hereby undertake to maintain the details of the client as mentioned in the client registration form or any other information pertaining to the client in confidence and that they shall not disclose the same to any person/authority except as required under any law/regulatory requirements: Provided however that the stock broker or sub-broker may so disclose information about his client to any person or authority with the express permission of the client.
30. The client agrees to immediately furnish information to the trading member in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
31. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under.


This agreement can be altered, amended and /or modified by the parties mutually in writing without derogating from the contents of this Agreement. Provided however, if the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Byelaws, Rules and Regulations of the relevant Stock Exchange, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this agreement.

IN WITNESS WHEREOF, the parties hereto have set their hands and signatures on the day, month and year first above written Signed for and on behalf of the member, the sub-broker and the client.

Signed and delivered by

Client Name & Signature	Sub-BrokerName and Signature	For Eureka Stock & Share Broking Services Ltd.
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Name	Name	
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 _____	Signature	Authorised Signatory / Director
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48 | Signature of the Client

<u>Witness</u>	<u>Witness</u>	<u>Witness</u>
Name	Name	Name
Address	Address	Address

Signature	Signature	Signature
Place		Dated : / /

DOCKET - B

NON-MANDATORY
(VOLUNTARY)

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Client Name :	
Trading Client Code :	Date :

Dear Sir / Madam,

I / We as a Client of Capital Market Segment and/or F&O Segment of NSE and/or BSE hereby authorise **M/s. Eureka Stock & Share Broking Services Ltd.** (Member NSE & BSE) for the following:

1. That to withhold and/or adjust outstanding amount receivable from you against my/our purchase in subsequent settlement with no interest accruing on the above amount. To pay/receive by netting the balance and to maintain a running account instead of settlement to settlement clearance of dues or delivery of securities to me/us both in Capital and F & O Segments of the Exchange.
2. That to withhold any credit balance lying in my/our account as collateral/margin against my/our future trades/obligations, without attracting any interest on it, in Capital and/or F&O Segments of Exchange.
3. That to hold my/our shares purchased from you in account as collateral/margin against my/our future trades/obligations in Capital and/or F&O Segments of the Exchange.
4. That to keep my/our shares purchased from you, in your margin account and to deliver and/or adjust said shares against our sales in subsequent settlement i.e. inter transferring the shares from one settlement (purchase) to another settlement (sales) in Capital and/or F&O Segment of Exchange unless you receive any verbal or written instruction from me/us to deliver the same to my/our Depository Account. Similarly, I / We authorise you to issue the cheque against our fund pay out after getting the telephonic or written instruction from me/us, otherwise the same will remain with you against my/our margin obligation towards Capital Market and / or F&O Segment.
5. To transfer the shares retained by you on my/our behalf as Margin against the dealing done in my/our account in the Capital Market / F&O Segment / both Capital Market and F&O Segment whenever there is any short fall in margin account.
6. I/We hereby confirm you to settle my / our account of funds and securities monthly / quarterly. (strike off whichever is not applicable)
7. I/We confirm you that I/we will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds / securities or statement of account or statement related to it, as the case may be at your registered office. After that I/We shall have no right to dispute the transaction, funds and / or securities ever and agree that you shall not be liable for any incidental loss / damage caused due to retention of funds and / or securities.
8. I/We further declare that this authorisation will remain in force for a period of one year unless revoked earlier by me/us in writing.
9. I/We declare that I/we have given the above authorisation to Eureka Stock & Share Broking Services Ltd. with my free consent, without any pressure, threat or coercion from any corner whatsoever.

Thanking you,

Yours faithfully,



V01 | **Signature of the Client**

(Signature by Authorised Person or any Power of Attorney holder is not valid)

CONSENT LETTER FOR DIGITAL COMMUNICATION**VOLUNTARY**

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Client Name :	
Trading Client Code :	Date :

Dear Sir / Madam,

I / We are a client with **Eureka Stock & Share Broking Services Ltd.** (hereinafter referred to as "ESSBSL") and my/our Trading Client Code is _____.

With reference to SEBI circular No. MRD/Dop/SE/Cir-20/2005 dated September 08, 2005, I / we are desirous to avail the facility of Electronic Contract Notes.

I/We would request you that henceforth i.e. from _____ onwards you are requested to send my/our contract notes through email on my/our

E-mail ID (1):

E-mail ID (2):

You are also requested to send the copies of the quarterly ledger statements of accounts for funds and securities, margin statement, holding statements, bills and other documents / communications, meant for me / us on this e-mail ID on a regular basis.

I/We shall ensure that this email ID is kept valid and any change in my/our above email ID shall be communicated to you in writing. I/We also agree that non-receipt of bounced mail notification by you shall amount to delivery at my / our email account(s) / email id(s). I/We agree not to hold you responsible for late / non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc. I/We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt / delayed receipt for any reason whatsoever.

I am also aware that copies of the contract notes are also available in ESSBSL website for which I will be provided with a USER ID and Password . In case of non-receipt of mails the same will be intimated to ESSBSL immediately in writing.

In case I wish to withdraw this facility I shall inform ESSBSL in writing at least one week in advance from the date of withdrawal.

Thanking you,
 Yours faithfully,



V02 Signature of the Client

(Signature by Authorised Person or any Power of Attorney holder is not valid)

DECLARATION FOR MOBILE NUMBER**VOLUNTARY**

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Client Name :	
Trading Client Code :	Date :

Dear Sir / Madam,

I, having PAN No. do hereby declare that my Mobile Number is Further, I authorise Eureka Stock & Share Broking Services Ltd. (hereinafter referred to as "ESSBSL") that the same may be used for giving me any information / alert / sms / call.

I / We under take to ESSBSL and confirm to use my / our own judgement in taking a call and execute trade in the identified security(s) according to my / our financial strength / capabilities and shall not hold ESSBSL responsible for any loss suffered by me/us on account of executing or omitting to execute any trades in pursuance of the SMS alert(s) and / or investment advises sent by ESSBSL.

I / We further declare that the above mentioned statement is true and correct.

Thanking you,
 Yours faithfully,



V03 Signature of the Client

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Client Name :	
Trading Client Code :	Date :

Dear Sir / Madam,

Sub: Letter of Authority- CASH/F&O/Currency Derivatives Segment of NSE/BSE/MCX-SX

1. I/We authorise Eureka Stock & Share Broking Services Ltd. (hereinafter referred to as "ESSBSL") to set off outstanding in any of my / our accounts against credits available or arising in any other account maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or in any other exchanges and/or against the value of cash margin or collateral shares provided to ESSBSL by us.
2. I/We hereby authorise ESSBSL not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We hereby request ESSBSL to kindly accept my/our mandate holder's verbal orders/instructions in person or over phone and execute the same. I/We shall get the required details from the contract notes issued by you. I/We understand the risk associated with placement of verbal orders and accept the same. I/We shall not disown orders under the plea that the same was not placed by me.
 I/We indemnify ESSBSL and keep you indemnified against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my/our orders placed verbally. In case I wish to withdraw this consent I shall inform ESSBSL in writing and get the same acknowledged by ESSBSL at least one week in advance from the date of withdrawal.
3. I/We hereby authorise ESSBSL to deposit securities received from me/us or purchased through ESSBSL lying in my/our account to the Stock Exchange(s)/NSCCL or their custodian appointed by them for the purpose of margin/other obligation and/or to facilitate my/our transaction in the normal course of securities business. Further, ESSBSL shall release the securities to me/us on my/our request, if the same is releasable to me/us.
4. Trading of all Exchanges is in Electronic Mode, based on VSAT, Leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. We understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond your control and may result in delay in processing of buy or sell orders either in part or in full. I/We shall be fully liable and responsible for any such problems/fault.
5. I/We agree not to hold ESSBSL liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war strikes, failure of systems, failure of internal links, government/regulatory actions or any other contingencies beyond your control.
6. I/We hereby confirm that I/we will never sublet the trading terminal on any term of connectivity, from my/our place to any other place without your prior approval.
7. I/We am/are agreeable for inter-settlement transfer of securities towards settlements.
8. I/We am/are agreeable for & authorise ESSBSL to with hold funds pay-out towards all applicable margins and debits.
9. All fines/penalties and charges levied upon ESSBSL due to my acts/deeds or transactions may be recovered by ESSBSL from my account.
10. Any queries related to security delivered by ESSBSL, would be brought to the notice of ESSBSL within two days of the receipt of such securities in my / our Demat Account or otherwise it can be presumed that I / We have checked all the security received by me / us and that they are good as per the prevailing norms.
11. Any queries related to my/our Contract cum Bill, shall be brought to the notice of the ESSBSL within 24 hours of the receipt of Contract cum Bill, however not after than 4 days from the execution of the trade.
12. ESSBSL is hereby advised to keep this instructions in force unless specifically informed by me / us in writing.
13. I/We hereby reconfirm and accept all the terms & conditions mentioned hereinabove.

Thanking you,
 Yours faithfully,



V04 | Signature

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Client Name :	
Trading Client Code :	Date :

Dear Sir / Madam,

I furnish below the details of my account held with Bank to enable transfer of funds payable to me against sale of securities.

Bank :	Branch :
Type of Account : <input type="checkbox"/> Savings <input type="checkbox"/> Current	MICR No.:
<input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> Others (Specify)	A/c. No. :
Copy of cheque must be enclosed.	IFSC CODE (RTGS / NEFT)

Name of Joint Account Holder (if any) :.....

I hereby declare that the particulars mentioned above are correct, complete and true and I request Eureka Stock & Share Broking Services Ltd. (hereinafter referred to as "ESSBSL") to transfer the funds against payments due to me into the above mentioned Bank Account until any other instruction from my side. It is also agreed by me that the above facility is being provided to me at the instance of ESSBSL and it can be discontinued/withdrawn by ESSBSL at its discretion at any point of time, as and when required without prior notice.

1. The transfer of funds to my bank account will continue to be governed by the terms and conditions as per the Member Client Agreement entered by and between ESSBSL and myself.
2. I shall review, upon first receipt, all transfers of funds made into the aforesaid bank account. In case fund payment is not received in my account on the due date then I will notify the same to ESSBSL within two days. After two days, I am solely responsible for any losses due to such delay and it shall be assumed by ESSBSL that the funds have been received by me.
3. If the transaction is delayed or not effected at all for incomplete or incorrect information, I would not hold ESSBSL responsible and/or indemnify ESSBSL from any loss or damage met by me under such circumstances.

Thanking you,

Yours faithfully,



V05 | Signature of the Client

BANK VERIFICATION LETTER**VOLUNTARY**

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Dear Sir / Madam,

THIS IS TO CERTIFY THAT Mr. / Ms. / M/s. (Name of the Client)
 resident of (full address), is holding a
 Savings/Current Bank Account No. jointly with
 at with our
 branch since His / her / their specimen
 signature(s) and photograph(s) as per the specimen recorded with us is being attested below :



(Bank Stamp and
 Client Signature
 across photograph)



Signed in my presence and attested



Signature of Branch Manager
 Stamp of the Bank

Date :

 Name of Branch Manager

AUTHORISATION FOR DEBITING D.P. CHARGES**VOLUNTARY**

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Dear Sir / Madam,

Sub : Debiting my Trading Account towards depository charges.

Ref. - DP ID DP Client ID


I / We hereby authorise you to debit my / our Trading Client Code for all the charges relating to the above mentioned Demat Account.

I / We understand that the said charges in the Demat Account, are incurred time to time and this authorisation of transferring the same to my trading account shall remain valid from time to time.

In case I/We wish to withdraw this authorisation, I/We shall inform Eureka Stock & Share Broking Services Ltd. in writing and acknowledge the same at least one week in advance from the date of withdrawal.

Thanking you,

Yours faithfully,



V07 | Signature of the Client

Client Name :	
Trading Client Code :	Date :

DECLARATION BY GUARDIAN (in case the Client is minor)**VOLUNTARY**

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Client Name :	
Trading Client Code :	Date :

Dear Sir / Madam,

This is with reference to the account opened in the name of Master/Ms. (name of the minor) with you for the purpose of dealing in securities on National Stock Exchange of India Ltd. and Bombay Stock Exchange Ltd. The aforementioned trading account has been opened in the best beneficial interest of the minor and you are requested to kindly accept/issue or receive funds or securities or make payment of funds/transfer of securities to the account of my son/daughter, as per the details given previously for all dealings in the said trading account.

Thanking you,

Yours faithfully,



V08 | Signature of the Parent / Guardian
 (with rubber stamp)

DECLARATION BY SOLE PROPRIETOR / PARTNERSHIP FIRM**VOLUNTARY**

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Client Name :	
Trading Client Code :	Date :

Dear Sir / Madam,

I refer to the trading account opened with you in the name of and declare and authorise you as under :

I recognise that a Beneficiary Account be opened with a depository participant in the name of a Sole Proprietorship / Partnership Firm as per Depository Regulations. To facilitate the operation of the above trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading operations, I authorise you to recognise the DP Client ID with Depository having DP ID opened in the name of the undersigned who is the Sole Proprietor / Partner of the firm.

I agree that the obligation for shares purchased and/or sold by the firm will be handled and completed through transfers to/from the above mentioned account. I recognise and accept transfers made by you to the beneficiary account as completion of obligations by you in respect of trades executed in the trading account of the firm.

The Cheques / Demand Drafts may be issued by me from my individual account or my joint account with some one else. The amounts so given shall be solely/exclusively for credit to the account of my Sole Proprietorship / Partnership Firm M/s. with Eureka Stock & Share Broking Services Ltd. I shall not lay any claim whatsoever in future against Eureka Stock & Share Broking Services Ltd. for affording credit of such cheques / demand drafts issued from my individual/joint account, credit of which has been provided by Eureka Stock & Share Broking Services Ltd. to the account of my Proprietorship / Partnership Firm M/s.

Thanking you,

Yours faithfully,



V09 | Signature - (Please sign without stamp of the firm)

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Dear Sir / Madam,

1. WHEREAS the Hindu Undivided Family of is carrying on business in the firm name and style of at or we intent to deal, have or desire to have Share Trading Account with Eureka Stock & Share Broking Services Ltd. (hereinafter referred as "Member"). We, undersigned, hereby confirm and declare that we are the present adult co-parceners of the said joint family and that is the present Karta of the said joint family.

2. We confirm that affairs of the H.U.F. firm are carried on mainly by the Karta on behalf and in the interest and for the benefit of all the co-parceners. We hereby authorise the Karta on behalf of the H.U.F. to deal on Capital Market Segment (CM), Futures and Options Segment (F&O), Retail Debt Market Segment (RDM) or any other segment that may be introduced by NSE/BSE in future and the said Trading Member is hereby authorised to honour all instructions oral or written given by him on behalf of the H.U.F.

Mr. / Mrs. is authorised to sell, purchase, transfer, endorse, negotiate documents and/or otherwise deal through the Member on behalf of the H.U.F. He is also authorised to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. We are, however, jointly and severally responsible for all liabilities of the H.U.F. firm to the Member and agree and confirm that any claim due to the Member from the said H.U.F. firm shall be recoverable from the assets of anyone or all of us and also from the estate of the said joint family including the interest thereon of every co-parcener of the said joint family, including the share of the minor co-parceners, if any.

3. We undertake to advise the Member in writing of any change that may occur in the Kartaship or in the constitution of the said joint family or of the said H.U.F. firm and until receipt of such notice by the Member which shall be binding on the said joint family and the said H.U.F. firm and on our respective estates. We shall, however, continue to be liable jointly and severally to the Member for all dues and obligations of the said H.U.F. firm in the Member's books on the date of the receipt of such notice by the Member and until all such dues and obligations shall have been liquidated and discharged.





4. We recognise that a beneficiary account can be opened with a Depository Participant only in the name of Karta as per regulations. To facilitate the operation of the above share trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading operations, we authorise you to recognise the Beneficiary Account No with Depository opened in name of who is the Karta of this H.U.F.

5. The names and dates of birth of the present minor co-parceners of the said joint family are given below. We undertake to inform you in writing as and when each of the said members attains the age of majority and is authorised to act on behalf of, and bind the said H.U.F. Firm.

<u>Name of the Minor</u>	<u>Father's Name</u>	<u>Date of Birth</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

6. We have received and read a copy of the Member's Rules and Regulations for the conduct of Share Trading Accounts and we agree to comply with and be bound by the said rules now in force or any changes that may be made therein from time to time.

Thanking you,
 Yours faithfully,

Name :  V10 Sign. of Karta	Name :  V11 Sign. of Co-parcener	Name :  V12 Sign. of Co-parcener	Name :  V13 Sign. of Co-parcener
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(Along with rubber stamp)

Date :

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Name :
Date :

Dear Sir / Madam,

I wish to appoint you as my Clearing Member for clearing and settlement of trades done on the Futures & Options Segment of NSE. My details are as follows:

Name (as appearing in Passport):
Passport No.:
NRE/NRO - Bank A/c. No.:
Telephone No.:
Email - ID:
Current Address:
Permanent Address in India:

We request you to kindly get an NRI client code allotted by NSE/NSCCL for the same.

I undertake that all the trades executed through the above allotted NRI Client code will be on my* behalf.

(*If the NRI Client Code application is for an HUF then the details of the Karta (Manager) has to be given in the application and in the undertaking should be provided as follows: "I undertake that all the trades executed through the above allotted code will be on behalf of HUF")

Thanking you,
 Yours faithfully,



V14 | Signature

DECLARATION BY NRI

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
 7, Lyons Range, 2nd Floor, Kolkata - 700001

Name :
Date :

Dear Sir / Madam,

I wish to open a Trading Account with you as an NRI and confirm that I will abide by all FEMA rules and regulations formed by the Government of India and RBI.

Thanking you,
 Yours faithfully,



V15 | Signature

The NSE/BSE, has laid down certain terms and conditions for trading by NRIs in exchange traded Derivatives Contract some of which are as under:

An NRI, who wishes to trade on the F&O Segment of the Exchange, is required to approach the Exchange through a Clearing Member, through whom the NRI would like to clear the trades, for allotment of unique client code.

The Exchange would assign a unique client code to each NRI, based on the application received from the Clearing Member of the NRI. A trading member placing an order on behalf of an NRI would be required to maintain the unique client code of the NRI at the time of an order entry.

In case the NRI wishes to change his Clearing Member or wants to clear the trades from more than one Clearing Member, all such Clearing Members are required to give separate application to the Exchange, for allotment of a unique client code. The date of expiry of the passport of an NRI shall be beyond 6 months from the date of application.

POSITION LIMITS

The position limits shall be:

1. For Index Based Contracts, a disclosure requirement for any person or persons acting in concert who together own 15% or more of the open interest of all derivative contracts on a particular underlying index.
2. For Stock Option and Single Stock Futures Contracts, the gross open position across all Derivative Contracts on a particular underlying stock of a NRI shall not exceed the **higher of:**
 - (a) 1% of the free float market capitalization (in terms of number of shares).
 - (b) 5% of the open interest in the Derivative Contracts on a particular underlying stock (in terms of number of contracts).

These position limits would be applicable on the combined position in all Derivative Contracts on an underlying stock at an exchange.

The NRIs position limits at the end of each trading day will be monitored by the Derivative Segment of the Exchange and its Clearing House / Clearing Corporation in the following manner:

1. The NRI would be required to notify the names of the Clearing Member/s and Custodian through whom the NRI would clear the derivative trades to the Exchange and its Clearing House / Clearing Corporation.
2. The NRI would be required to confirm all its positions and the positions of all its sub-accounts to the designated Clearing Members online but before the end of each trading day.
3. The designated Clearing Member/s would at the end of each trading day submit the details of all the confirmed NRI trades to the Derivative Segment of the Exchange and their Clearing House / Clearing Corporation.
4. The Exchange and its Clearing House / Clearing Corporation would then compute the total NRI trading exposure and would monitor the position limits at the end of each trading day. The cumulative NRI position may be disclosed to the market on a T + 1 basis, before the commencement of trading on the next day.
5. In the event of an NRI breaching the position limits on any Derivative Contract on an underlying, the NRI would not be permitted by the exchanges and their Clearing House / Clearing Corporation / Clearing Member/s to take any fresh positions in any Derivative Contracts in that underlying. However, they would be permitted to execute off-setting transactions so as to reduce their open position.
6. The NRIs while trading for each sub-account would also assign a unique client code with a prefix or suffix of the code assigned by the Exchange and their Clearing House / Clearing Corporation to the NRI. The NRI would be required to enter the unique sub-account code before executing a trade on behalf of the sub-account.

(To be provided on Letter Head)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF M/S.
 AND HAVING ITS
 REGISTERED OFFICE AT HELD ON
 DAY OF 20..... AT..... .

RESOLVED THAT the Company do hereby agree to open an account with M/s. Eureka Stock & Share Broking Services Ltd., Member of National Stock Exchange of India Ltd. (NSE) and Bombay Stock Exchange Ltd (BSE) for the purpose of dealing on Capital Market Segment / Futures and Options Segment / Currency Derivatives Segment and the said Trading Member be and is hereby authorised to honour instructions, verbal or written, given on behalf of the company by any of the under noted authorised signatories,

Sr. No.	Name	Designation
1.	_____	_____
2.	_____	_____
3.	_____	_____

who is/are authorised to sell, purchase, transfer, endorse, negotiate documents and/or otherwise deal through M/s. Eureka Stock & Share Broking Services Ltd. on behalf of the Company.

RESOLVED FURTHER THAT Mr. and/or Mr.
 Directors and/or Mr. Authorised Signatory of the Company be and is / are hereby authorised to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this resolution.

RESOLVED FURTHER THAT the Common Seal of the Company be affixed, wherever necessary, in the presence of any Directors or of any one Director and Company Secretary, who shall sign the same in token of the presence.

ForLtd.
 (Chairman / Company Secretary)

Specimen Signature of the Authorised Persons

To,
EUREKA STOCK & SHARE BROKING SERVICES LTD.
7, Lyons Range, 2nd Floor, Kolkata - 700001

Dear Sir / Madam,

Sub: Acknowledgement of receipt of copy of Client Registration Form, Member Constituent Agreement, Risk Disclosure document and other documents executed

This has reference to my / our aforesaid trading account with you. In this connection I/we hereby acknowledge the receipt of a copy of Client Registration Form, Member Constituent Agreement, Risk Disclosure document and other Documents executed by me / us in favour of the Company.

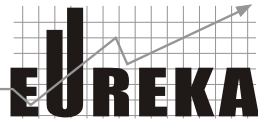
Further, I/we have also agreed and understood all the mandatory and voluntary clauses of the aforesaid agreements.



V16 | Signature of the Client

Client Name :	
Trading Client Code :	Date :

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EUREKA STOCK & SHARE BROKING SERVICES LTD.

Registered Office: 7, Lyons Range, 2nd Floor, Room No. 1, Kolkata - 700001

Corporate Office: B3/4, Gillander House, 8 N S Road, Kolkata - 700001

Ph. : 3918 0300 / 01 / 02 Fax: 2210 5184 e: helpdesk@eurekasecurities.com

www.eurekasecurities.com

DP ID : IN302105

SEBI Regn. No. : IN-DP-NSDL-172-2000

Name of the Client :

Contact Phone No. :

DP Client ID :

Trading Client Code :

SPECIMEN SIGNATURES

I/We enclose specimen signatures of mine / us of the person/s authorised by us above.

NAME(s) OF HOLDER(s)		SIGNATURE(s) or ATTESTED THUMB IMPRESSIONS
First/Sole Holder		1.
Second Holder		2.
Third Holder		3.
POA	Eureka Stock & Share Broking Services Ltd.	4.

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TO ALL TO WHOM THESE PRESENTS SHALL COME I/We
.....having address

..... hereby SEND GREETINGS:

Whereas I/We hold a Beneficiary Account No.(BO-ID) with National Securities Depository Limited, through **EUREKA STOCK & SHARE BROKING SERVICES LIMITED** bearing DP-ID **IN302105**.

And Whereas I am / we are an investor engaged in buying and selling of securities through **Eureka Stock & Share Broking Services Limited**, a member of National Stock Exchange of India Ltd. and Bombay Stock Exchange Ltd.

And Whereas due to exigency and paucity of time, I am / we are desirous of appointing an agent/ attorney to operate the aforesaid beneficiary account on my / our behalf for a limited purpose in the manner hereinafter appearing:

NOW KNOW I / WE ALL AND THESE PRESENTS WITNESSTH THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE AND APPOINT **M/s. Eureka Stock & Share Broking Services Limited** as my / our true and lawful attorney (hereinafter referred to as the attorney) for me / us and on my / our behalf and in my / our name to do instruct the aforesaid Depository Participant to debit securities and/or to transfer securities from the aforesaid account for the purpose of delivering the same to the pool account of the said **Eureka Stock & Share Broking Services Limited** or to the clearing house of the recognised stock exchange directly, whichever deems fit, toward any segment in respect of securities sold by me / us through them.

This authority is restricted to the **pay-in obligations** arising out of the transactions of sale, effected by me / us through **Eureka Stock & Share Broking Services Limited** and I / we ratify the instructions given by the aforesaid Clearing Member to the Depository Participant named hereinabove in the manner specified herein.

I / we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until I /we have given to the Depository Participant fifteen days advance notice in writing to the contrary.

SIGNED AND DELIVERED

(By the within named Beneficial Owner)

.....)

IN THE PRESENCE OF.....)

.....)

.....)



EUREKA STOCK & SHARE BROKING SERVICES LTD.

Branch Code :

**Trading
Client Code** :
(for office use only)

Client Name :

CLIENT REGISTRATION KIT

NSE CAPITAL MARKET	INB 230068430
NSE FUTURE & OPTION (TM & CM)	INF 230068430
BSE CAPITAL MARKET	INB 010068436
BSE FUTURE & OPTION (TM)	INF 010068436
NSE CURRENCY DERIVATIVE (TM & CM)	INE 230068430
BSE CURRENCY DERIVATIVE (TM)	INE 010068436
MCX-SX CURRENCY DERIVATIVE (TM)	INE 260068436

Registered Office:

7, Lyons Range, 2nd Floor, Room No. 1, Kolkata - 700001. Ph.: 91-33-2230 6998

Corporate Office:

B-3/4 & C-3/5, Gillander House, 8 N S Road, 3rd Floor, Kolkata - 700001.

Ph.: 91-33-3918 0300 / 01 / 02. Fax: 91-33-2210 5184

e.: helpdesk@eurekasecurities.com

www.eurekasecurities.com

In person verification done for EUREKA STOCK & SHARE BROKING SERVICES LTD.

SIGNATURE OF THE EMPLOYEE

NAME OF THE EMPLOYEE

DESIGNATION OF THE EMPLOYEE

PLACE & DATE OF THE VERIFICATION

COLLECTION CENTRE / BRANCH DETAILS

SIGNATURE OF THE APPLICANT(S)

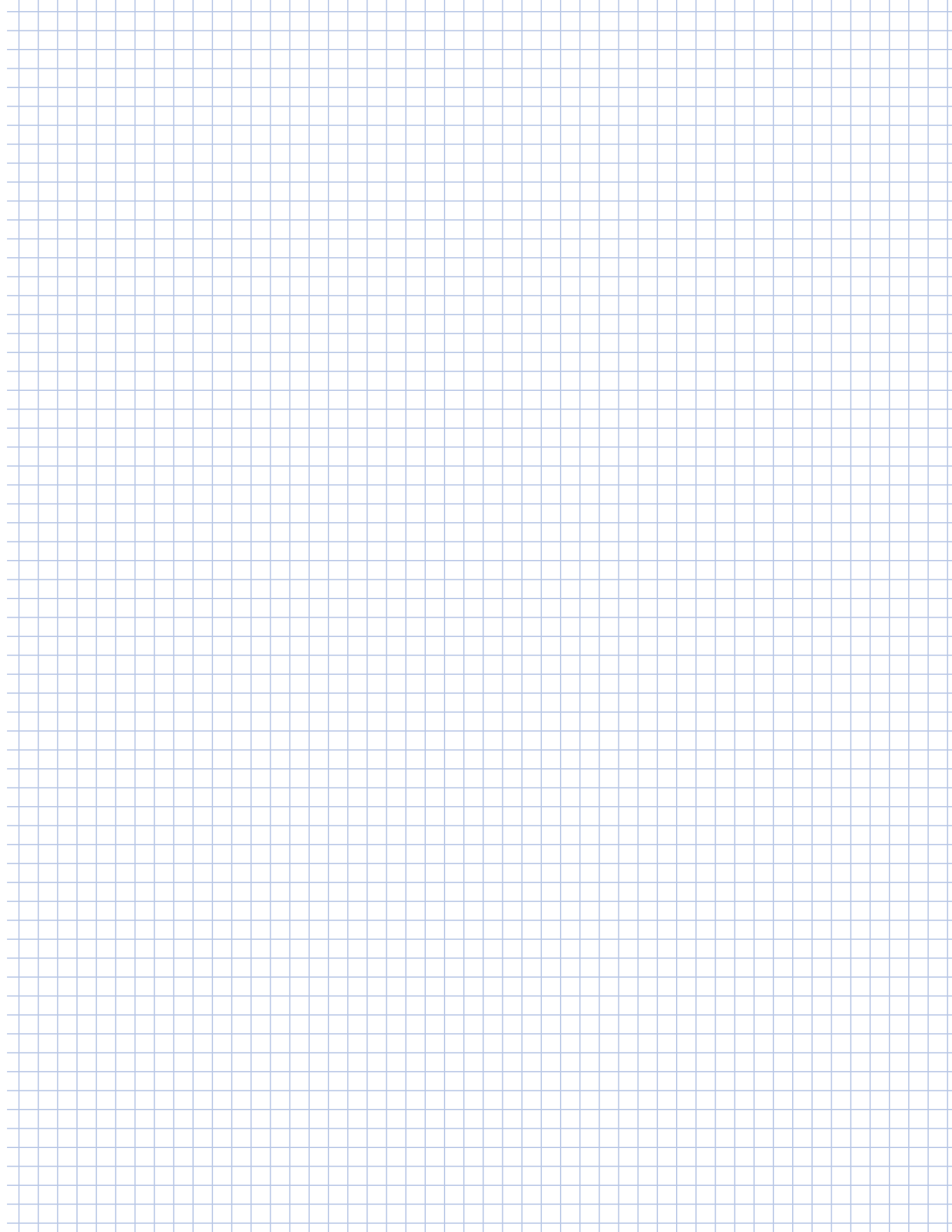


Do's

- a. Always deal with market intermediaries registered with SEBI/Exchanges.
- b. Give clear and unambiguous instructions to your broker/agent/depository participant.
- c. Always insist on contract notes for all the transactions from the main broker (EUREKA STOCK & SHARE BROKING SERVICES LIMITED) within 24 hours of the trade execution. In case of doubt of the transactions, verify the genuineness of the same on the Exchange website www.bseindia.com / www.nseindia.com.
- d. Always settle the dues through the normal banking channels with the market intermediaries.
- e. Always make payment directly to the main broker (EUREKA STOCK & SHARE BROKING SERVICES LIMITED).
- f. Always give delivery of shares directly to the main broker (EUREKA STOCK & SHARE BROKING SERVICES LIMITED).
- g. Adopt trading/investment strategies commensurate with your Risk bearing capacity as all investments carry risk, the degree of which varies according to the investment strategy adopted.
- h. Always sign a member-Client Agreement or the tripartite agreement with the trading member & SEBI registered sub-broker of the trading member of BSE / NSE as the case may be.
- i. Please carry out due-diligence before registering as client with any intermediary. Also, carefully read and understand the contents stated in the Risk Disclosure Document, which forms the part of client registration for dealing through intermediaries in the Stock market.

Dont's

- a. Don't deal with unregistered brokers / sub-brokers, intermediaries.
- b. Don't leave the custody of your Demat Transaction slip book in the hands of any Intermediary.
- c. Don't fall prey to promises of guaranteed returns.
- d. Don't blindly imitate investment decisions of others who may have profited from their investment decisions.



CONTACT US

Ahmedabad	:	Ms. Gunjan Mirani	+91-93766 43939	ahmedabad@eurekasecurities.com
Asansol	:	Mr. Gobind Goyal	+91-94340 02286	asansol@eurekasecurities.com
Barakar	:	Mr. Sushil Bankeraika	+91-94340 23036	221_barakar@eurekasecurities.com
Chennai	:	Mr. Pradip Maheshwari	+91-99529 60122	roypettah@eurekasecurities.com
Dhanbad	:	Mr. Arun Kr. Gupta	+91-93082 32182	dhanbad@eurekasecurities.com
Durgapur	:	Mr. Yogesh Kr. Maheshwari	+91-99331 68661	durgapur@eurekasecurities.com
Erode	:	Mr. K. Balasubramani	+91-98943 45858	erode@eurekasecurities.com
Jaipur	:	Mr. R. C. Bhala	+91-94140 55102	jaipur@eurekasecurities.com
Jamshedpur	:	Mr. Suresh Kr. Agarwal	+91-93348 14545	311_jamshedpur@eurekasecurities.com
Kharagpur	:	Mr. Surajit Mukherjee	+91-98306 00188	kharagpur@eurekasecurities.com
Kulti	:	Mr. Sandeep Agarwala	+91-94340 29996	222_kulti@eurekasecurities.com
Mumbai	:	Mr. Ashok Taparia	+91-93226 41133	mumbai@eurekasecurities.com
Patna	:	Mr. Ajay Khemka	+91-93343 12583	326_patna@eurekasecurities.com
Ranchi	:	Mr. Murari Lal Agarwal	+91-93344 50663	ranchi@eurekasecurities.com
Siliguri	:	Mr. Amit Maheshwari	+91-98323 79189	siliguri@eurekasecurities.com
Srinagar	:	Mr. Reyaz Ahmed Fazili	+91-94190 76346	srinagar@eurekasecurities.com

CLIENT REGISTRATION KIT - STYLE DEFINITIONS:

Total 54 pages used for KIT (including Cover, Mandatory, Non-Mandatory, POA & Blank pages)

Cover Page in 300 gsm ITC Thick, Inside Mandatory and Non Mandatory, Pages - 80gsm Super Print and POA in Ledger Sheet.

Basic Font Style used inside the Client Registration Kit (Mandatory, Non-Mandatory and POA) is **Calibri.ttf - Size : 11 point** and many other fonts used in the KIT for Cover Page, Cover Inside, Index, Inside Heading and others.